

# University of Massachusetts Enterprise Risk Management Program

## Audit and Risk Committee

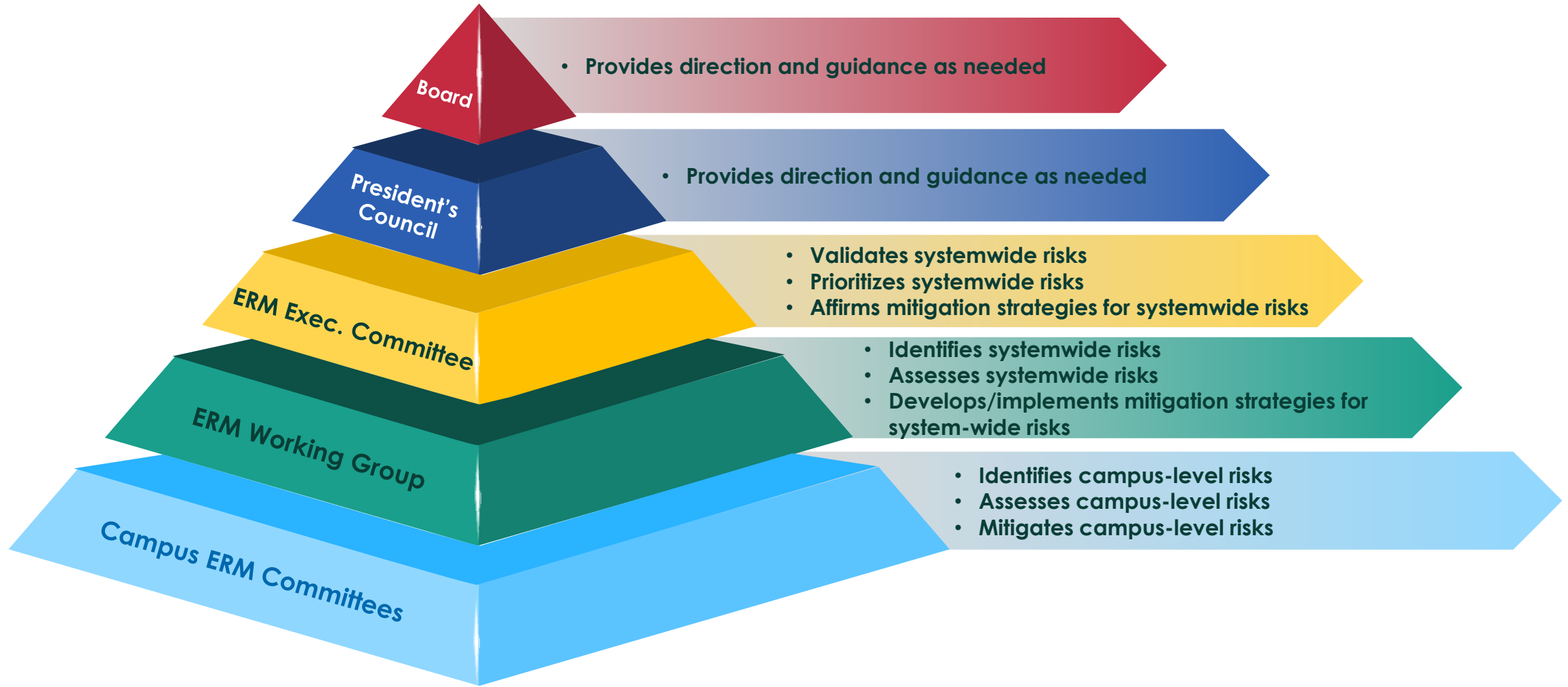
April 3, 2024



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**DRAFT**

# Systemwide ERM Program Governance Structure



# ERM Program Status Update

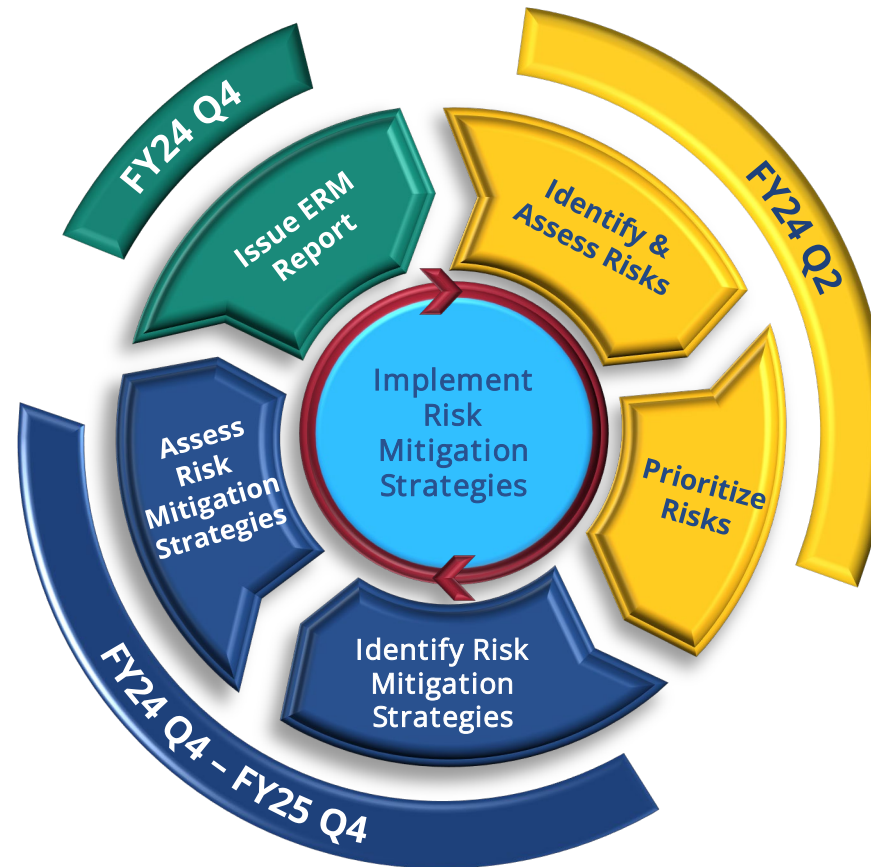
## Upcoming

Biennial ERM Report will be issued in June 2024

## Upcoming

Mitigation Assessment Phase of ERM Program cycle, focusing on FY24 Top 10 Risks

- More granularity on International Activities
- New: Artificial Intelligence
- New to Top 10: Research



## Completed

FY 24 Risk Assessment

- Working Group assessed likelihood and consequence
- Executive Committee assessed Urgency
- New Risk: Artificial Intelligence
- New Top 10 Risk: Research

## Ongoing

Implementation of mitigation strategies impacts risk assessment, prioritization and mitigation identification and assessment

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# FY 2024 Systemwide Risk Registry

■ Priority Risks

Rank	Risk
1	Enrollment
2	Information Security
3	Facilities and Deferred Maintenance
4	Financial Sustainability
5	Student Health & Mental Health Support
6	Artificial Intelligence
7	International Activities
8	Research
9	Diversity, Equity, Inclusion and Accessibility
10	Attract, Recruit, Retain Faculty and Staff

Rank	Risk
11	Information Privacy
12	All-Hazards Planning and Response Capabilities
13	Labor Relations
14	Data Management
15	Vendor Risk Management
16	Sexual Assault Policies and Response Procedures
17	NCAA Regulations
18	IT Disaster Recovery
19	Continuity Planning
20	Environmental and Public Health, & Safety Regulations

Rank	Risk
21	Alcohol and Substance Abuse
22	Fraud, Waste, Abuse
23	Crisis Communication Coordination
24	Uninsured Loss
25	Multi-State Payroll Taxation
26	Multi-State Business Taxation
27	Employment Laws and Regulations
28	Policies/Procedures Regarding Minors on Campus
29	Academic Quality and Standards
30	Oversight of Student Organizations

# ERM Program Updates

## Deloitte.

- Panelist at the 2024 Symposium on Risk Management in Higher Education (April 2024)



- Invited to conduct a webinar for PRIMA membership on Data and ERM (Fall 2024)



- Presented with LeeAnn Pasquini on ERM and A&F Accountability Tools (such as MATRX™© and SPARC™©) at Eastern Association of College and University Business Officers (EACUBO) (March 2024)



- For second year, selected as Best of URMIA based on presentation at 2023 URMIA National Conference



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# Appendix A

ERM Governance Structure Membership

# ERM Executive Committee Membership

Last Name	First Name	Campus	Title	Executive Committee Seat
Calise	Lisa	UMPO	Senior Vice President and Treasurer, Administration and Finance	Senior VP, Administration and Finance
Culverwell	Marcy	Medical	Senior Associate Vice Chancellor, Administration and Finance	UMass Chan Medical School
David	Kyle	UMPO	Director, Internal Audit	Director, Internal Audit
Giuliani	Chris	Boston	Associate Vice Chancellor for Administration and Finance	UMass Boston
Hescock	Jeff	Amherst	Director, Environmental Health and Safety	UMass Amherst
Karberg	Andrew	UMPO	Associate Counsel, Compliance, Ethics, International Affairs	Research
Lowy	David	UMPO	General Counsel	General Counsel
Miliano	Tom	Lowell	Associate VC, Administrative Services	UMass Lowell
Milligan	Michael	UITS	Chief Information Officer	Chief Information Officer
Subbaswamy	Kumble	UMPO	Interim SVP, Academic and Student Affairs & Equity	Senior VP, Academic & Student Affairs & Equity
Packard	Christine	UMPO	Assistant Vice President, Enterprise Risk Management	ERM/Chair
Pasquini	LeeAnn	UMPO	Associate Vice President Administration and Finance	Associate PP, Administration and Finance
Piggot	Robyn	Dartmouth	Chief of Staff	UMass Dartmouth
Walker	Nefertiti	UMPO/ Amherst	Deputy Vice President, Academic & Student Affairs & Equity Vice Chancellor, Diversity, Equity and Inclusion	Deputy VP, Academic & Student Affairs & Equity

# ERM Working Group Membership

Last Name	First Name	Campus	Title	ERM Working Group Seat	
				Campus Lead or SME	SME Topic(s)
Britton	Kirsten	Amherst	Senior Associate Athletic Director	SME	Athletics
Brooks	June	Medical	Senior Director, Compliance and Policy	Campus Lead	
Carragher	Candyce	UMPO	Senior Executive Associate to the Senior Vice President	SME	Academic Affairs Student Affairs
Coleman	Brian	Medical	Associate CIO – Information Security	Campus Lead	
Comeau	Justin	Boston	Manager, Emergency Planning & Business Continuity	Campus Lead	
Conklin	Shane	Amherst	Associate Vice Chancellor for Facilities and Campus Services	SME	Facilities
Culverwell	Marcy	Medical	Associate Vice Chancellor, Administration and Finance	Campus Lead	
Dunlap	John	UMPO	Chief Human Resources Officer	SME	Human Resources
Durkin	Michael	UPST	Director, Strategic Procurement	SME	Procurement
Edelman-Blank	Deborah	Lowell	Director, Counseling Services	SME	Health & Mental Health
Hescock	Jeff	Amherst	Executive Director of Environmental Health and Safety and Emergency Management	Campus Lead	Safety and Security
Hitchcock	Patrick	UMPO	Controller	SME	Controller
Hoey	John	UMPO	Executive Director of Communications	SME	Communications
Karberg	Andrew	UMPO	Associate Counsel, Compliance, Ethics, International Affairs	SME	Legal
LaGrassa	Michael	Dartmouth	Associate Vice Chancellor of Administrative Operations & Compliance	Campus Lead	
Majewski	Deborah	Dartmouth	Vice Chancellor of Human Resources	Campus Lead	
Mayers	Darryl	Boston	Assistant Vice Chancellor for Contracts and Compliance	Campus Lead	
Packard	Christine	UMPO	Director, Enterprise Risk Management	Campus Lead	
Patel	Tejal	OGC	Assistant Counsel, International Affairs and Immigration	SME	International Activities
Russell	Andrew	UMPO	Senior Assistant VP of Operations and Associate Treasurer	SME	Tax
Scano	Derek	UMPO	Assistant Director, Internal Audit	SME	Internal Audit
Skrzek	Joe	UMPO	Director, Budget & Planning	SME	Finance
Smith	Bradford	UITS	Chief Information Security Officer	SME	IT
Stockwell	Joshua	UMPO	Associate Counsel for IP and Research	SME	Research
TBD				SME	DEIA
Tucker	Josh	UMPO	Insurance Analyst	SME	Insurance
Watson	Olivia	UMPO	ERM Analyst	Campus Lead	
Wood	Rick	Lowell	Director, Office of Life Safety and Emergency Management	Campus Lead	
Yanka	Ruth	Amherst	Executive Director A&F Operations, Vice Chancellor Administration and Finance	Campus Lead	