

**UNIVERSITY OF MASSACHUSETTS**

**VOTES**

**BOARD OF TRUSTEES**

**SEPTEMBER 5, 2019**

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**ACTION ITEM #1:** 2019/2020 Board of Trustees Officers and Committee Leadership

**DATE:** Board of Trustees – September 5, 2019

**DOC. & VOTE I.D. #:** T19-051

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**VOTED:** To confirm and approve the 2019/2020 Officers and Committee Chairs of the University of Massachusetts Board of Trustees as set forth in Doc. T19-051; all persons to serve until the next annual meeting of the Board.

**UNIVERSITY OF MASSACHUSETTS  
BOARD OF TRUSTEES**

**DOC. T19-051**

**OFFICERS AND COMMITTEE LEADERSHIP  
2019/2020**

Chairman	<u>Robert J. Manning</u> <u>(Serves at the Pleasure of the Governor)</u>
Vice Chair	<u>R. Norman Peters</u>
Chair, Academic and Student Affairs	<u>Imari K. Paris Jeffries</u>
Vice Chair, Academic and Student Affairs	<u>Elizabeth D. Scheibel</u>
Chair, Administration and Finance	<u>Stephen R. Karam</u>
Vice Chair, Administration and Finance	<u>Michael V. O'Brien</u>
Chair, Audit	<u>Maria D. Furman</u>
Vice Chair, Audit	<u>Stephen R. Karam</u>
Chair, Advancement	<u>Mary L. Burns</u>
Vice Chair, Advancement	<u>Robert Epstein</u>
Chair, Compensation	<u>Robert J. Manning</u>
Vice Chair, Compensation	<u>R. Norman Peters</u>
Chair, Governance	<u>Robert J. Manning</u>
Vice Chair, Governance	<u>Elizabeth D. Scheibel</u>
Secretary to the Board of Trustees	<u>Zunilka M. Barrett</u>

***Effective September 5, 2019***

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Audit Committee – September 4, 2019

**ACTION ITEM #c,1:** FY2020 Internal Audit Plan

**DATE:** Board of Trustees – September 5, 2019

**DOC. & VOTE I.D. #:** T19-019

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**VOTED:** To approve the following:

1. FY2020 Internal Audit Plan

## UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

**DATE:** Board of Trustees – September 5, 2019

**VOTED:** To approve the following votes listed as 1-12 on the Consent Agenda:

### **CONSENT AGENDA VOTES**

The Committee on Academic and Student Affairs approved items 1-6 on September 4, 2019.

1. **Appointments to Named Professorships including Endowed Chairs, UMass Amherst, Boston, Medical School, Doc. T19-041**

To concur with the President in the appointment of Assistant Professor Guangyu Xu to the Dev and Linda Gupta Endowed Professorship at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Associate Professor Joseph Cooper to the Dr. J. Keith Motley Chair in Sport Leadership and Administration at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of Associate Professor Justin A. Maykel to the Joseph M. Streeter and Mary Streeter DeFeudis Chair in Surgery at the University of Massachusetts Medical School.

And further, To concur with the President in the appointment of Professor Beth A. McCormick to the Worcester Foundation for Biomedical Research Chair at the University of Massachusetts Medical School.

And further, To concur with the President in the appointment of Assistant Professor Lawrence M. Rhein to the Stoddard Chair of Pediatrics at the University of Massachusetts Medical School.

And further, To concur with the President in the appointment of Professor Celia A. Schiffer to the Gladys Smith Martin Chair of Oncology at the University of Massachusetts Medical School.

2. **Bachelor of Science in Veterinary Technology, UMass Amherst, Doc. T19-047**

To approve the Bachelor of Science in Veterinary Technology at the University of Massachusetts Amherst as contained in Doc. T19-047.

3. **Bachelor of Science in Managerial Economics, UMass Amherst, Doc. T19-053**

To approve the Bachelor of Science in Managerial Economics at the University of Massachusetts Amherst as contained in Doc. T19-053.

4. **Appointments with Tenure, UMass Amherst, Boston, Doc. T19-044**

To concur with the President in the appointment of Krishna Kumar professor with tenure in the Department of Physics, with a concurrent appointment as the Robert L. Gluckstern Distinguished Professor in Physics at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Joseph Cooper as associate professor with tenure in the Department of Leadership in Education at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of Professor Robin Côté as professor with tenure in the Department of Physics at the University of Massachusetts Boston.

And further, To concur with the President in the appointment C. Ann Gakumo associate professor with tenure in the Department of Nursing at the University of Massachusetts Boston.

And further, To concur with the President in the appointment Professor Quito J. Swan as professor with tenure in the Department of Africana Studies at the University of Massachusetts Boston.

5. **Awards of Tenure, UMass Amherst, Doc. T19-045**

To concur with the President in the awards of tenure to the following individuals in the following departments:

**AMHERST**     **Madelaine Bartlett**, Biology  
                  **Andrew March**, Political Science  
                  **Rachel Walker**, Nursing

6. **Transfer of Tenure, UMass Dartmouth, Doc. T19-052**

To concur with the President in the following transfer of tenure at the University of Massachusetts Dartmouth:

Associate Professor Viviane Saleh-Hanna, FROM the Department of Sociology, Anthropology, and Crime and Justice Studies TO the Department of Crime and Justice Studies.

The Committee on Administration and Finance approved items 7-12 on September 4, 2019.

7. **Establishment of Endowed Professorships and Chairs, UMass Amherst, Medical School, Doc. T19-046**

In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws: to establish the Edward D. Shirley Endowed Professorship at the University of Massachusetts Amherst.

And further,

In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws: to establish the Joseph M. Streeeter and Mary C. DeFeudis Chair in Surgery at the University of Massachusetts Medical School.

8. **Authorization to Submit the University’s Fiscal Year 2021 Budget Request, Doc. T19-049**

To authorize the President to prepare and submit the University’s Fiscal Year 2021 State Budget request per the Board’s Governance policy (T73-098).

9. **Approval of Changes to Capital Project list, UMass Amherst (Vote 1), Doc. T18-063, as amended**

Pursuant to Trustee Policy T93-122, as amended, to approve the following project under the **first vote**; the adoption of such vote hereby satisfies the University’s written request that the University of Massachusetts Building Authority undertake the following project to the extent permitted by Trustee Policy T93-122, as amended, and Board vote T18-056:

Campus	Project Name	Preliminary Campus Estimate	Funding Sources				P3
			Local Funding	External Funding	Borrowed Funding	State Funding	
UMA	Goodell Renovations	\$35,000,000	-	-	\$35,000,000	-	-

10. **Approval of Changes to P3 Projects on the Capital Projects List (related to the FY2019 – FY2023 Capital Plan), UMass Amherst (Vote 2), Doc. T18-064, as amended**

Pursuant to Trustee Policy T93-122, as amended, to approve the projects listed below for a second vote and add them to the University’s approved capital projects list; the adoption of such vote hereby satisfies the University’s written request that the University of Massachusetts Building Authority engage professionals and otherwise undertake the following projects to the extent permitted by Trustee Policy T93-122, as amended, and Board vote T18-056 and requires a third vote of the board prior to the execution of any development agreement:

Campus	Project Name	Preliminary Campus Estimate (First Vote)	Full Project Approval (Second Vote)	Funding Sources				P3
				Local Funding	External Funding	Borrowed Funding	State Funding	
UMA	North Village Apartments	\$70,000,000	\$38,800,000	-	-	-	-	\$38,800,000
UMA	Housing Expansion	\$175,000,000	\$191,600,000	-	-	-	-	\$191,600,000

11. **Approval of Independent Analysis of P3 Projects, UMass Boston, Lowell (Vote 1), Doc. T18-063, as amended**

Pursuant to Trustee Policy T93-122, as amended, to approve a **first vote** requiring the University of Massachusetts Building Authority to engage professional services to conduct an independent third party analysis for the proposed Calf Pasture Pump House project at the Boston campus. The adoption of such vote hereby satisfies the University’s written request that the University of Massachusetts Building Authority undertake the following projects to the extent permitted by Trustee Policy T93-122, as amended, and Board vote T18-056 and requires a second vote of the board once further study of the projects is completed.

And further,

Pursuant to Trustee Policy T93-122, as amended, to approve a **first vote** requiring the University of Massachusetts Building Authority to engage professional services to conduct an

independent third party analysis for the proposed ice rink project at the Lowell campus. The adoption of such vote hereby satisfies the University's written request that the University of Massachusetts Building Authority undertake the following projects to the extent permitted by Trustee Policy T93-122, as amended, and Board vote T18-056 and requires a second vote of the board once further study of the projects is completed.

12. **Authorization to Issue Debt in Support of the University's Capital Plan, Doc. T19-050**

WHEREAS, pursuant to Chapter 773 of the Acts of 1960, as amended ("Building Authority Enabling Act"), the University of Massachusetts Building Authority is a body politic and corporate and a public instrumentality of The Commonwealth of Massachusetts (the "Commonwealth") created to aid and contribute to the performance of the education and other purposes of the University of Massachusetts (the "University") by providing buildings and other structures for the use of the University, its students, staff and their dependents and for lease to or use by an organization or association, in any form, of students or others the activities of which are a part of the activities at the University and subject to regulation by the Board of Trustees of the University (the "Trustees");

WHEREAS, pursuant to the Building Authority Enabling Act, the Building Authority may issue bonds to finance projects undertaken by the Building Authority at the request of the Trustees, or, with the approval of the Trustees, issue refunding bonds, all of which bonds are secured, in part, by revenues from the Building Authority, the University and the Commonwealth, as authorized by the Trustees on behalf of the University and the Commonwealth;

WHEREAS, in the judgment of the Trustees it is in the best interests of the University, its students, staff and their dependents and said organizations that the Building Authority undertake projects and finance such projects as described below;

WHEREAS, in the judgment of the Trustees it is in the best interest of the University for the Building Authority to restructure bonds when deemed desirable and refund bonds when market conditions are favorable; and

WHEREAS, the Building Authority's enabling act authorizes the Trustees, on behalf of the Commonwealth, to provide a Commonwealth guaranty of not to exceed \$200,000,000 outstanding principal amount of Building Authority Bonds;



NOW, THEREFORE,

1. Each of the President and the Senior Vice President for Administration & Finance and Treasurer of the University, acting alone, be and each, acting alone, (each, an "Authorized Officer") hereby is authorized in the name and on behalf of the Trustees to make a written request of the Building Authority that the Building Authority initiate and undertake:
  - (a) Any or all of the projects identified in the University's FY2019-FY2023 Capital Plan, Doc. T18-056 'which are on' the Approved Capital Project list, as reviewed and amended quarterly 'by the Trustees'; and
  - (b) any other construction of or respecting or renovation, repair, remodeling or other work in or upon or respecting any building or structure owned or used by the University or the Building Authority, or the provision, installation, renovation, repair or remodeling of or other work upon or respecting any furnishings, furniture, machinery, equipment or facilities with respect to any such building or structure, all as may be requested of the Building Authority from time to time by an Authorized Officer, the making of any such request being hereby authorized.
2. The project to be initiated by the Building Authority in accordance with any request authorized to be made in Section 1 of this resolution may in the discretion of the Building Authority be undertaken separately or together for financing purposes.
3. The issuance of refunding bonds by the Building Authority is hereby approved, in such amount and pursuant to such terms, including but not limited to restructuring the terms of debt service, as shall be approved in writing by an Authorized Officer.
4. Each Authorized Officer hereby is authorized to execute and deliver on behalf of the University and the Commonwealth one or more contracts for financial assistance, management and services with respect to the projects financed or refinanced by the Building Authority and the Building Authority bonds issued in connection therewith, including the refunding bonds authorized herein. Each such contract may include the Commonwealth guaranty of all or a portion of such bonds, as determined by an Authorized Officer and within the limits prescribed by the Building Authority's enabling act.
5. Each Authorized Officer is hereby authorized to take such other action as deemed necessary or desirable to be done or taken to effectuate the matters hereby authorized, as conclusively evidenced by their doing the things or taking the action hereby authorized.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**OTHER BUSINESS #1:**    **Department of Defense, Exclusion from Personnel Clearance Requirements**

**DATE:**            **Board of Trustees – September 5, 2019**

**DOC. & VOTE I.D. #:**    **T19-033**

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**VOTED:**            WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chairman of the Board, the President, and the designated Facility Security Officer meet the personnel clearance requirements established for a contractor's facility clearance; and

WHEREAS, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees and other officers, provided that this action is recorded in the minutes.

NOW, THEREFORE, BE IT DECLARED that the Chairman of the Board, the President, and the designated Facility Security Officer at the present time do possess, or will be processed for, the required security clearance; and

BE IT RESOLVED that in the future, when any individual enters upon any duties as Chairman of the Board, the President, or the designated Facility Security Officer, such individual shall immediately make application for the required security clearance; and

BE IT RESOLVED FURTHER that the following members of the Board of Trustees and other officers shall not have, and can be effectively excluded from access to all classified information in the possession of the corporation and do not occupy positions that would enable them to affect adversely policies or practices in the performance of classified contracts for the Department of Defense or the User Agencies of its Industrial Security Program:

<b><u>NAME</u></b>	<b><u>TITLE</u></b>
Jacob S. Binnall	Trustee
Mary L. Burns	Trustee
Ryan P. Callahan	Trustee
Peter Cruz-Gordillo	Trustee

Robert Epstein	Trustee
Maria D. Furman	Trustee
Stephen R. Karam	Trustee
Richard M. Kelleher	Trustee
Amanda B. Kuffoh	Trustee
Michael V. O'Brien	Trustee
Noreen C. Okwara	Trustee
Kerri Osterhaus-Houle	Trustee
Imari K. Paris Jeffries	Trustee
Kush Patel	Trustee
R. Norman Peters	Trustee
James A. Peyser	Trustee
Elizabeth D. Scheibel	Trustee
Henry M. Thomas, III	Trustee
Steven A. Tolman	Trustee
Victor Woolridge	Trustee
Charles F. Wu	Trustee