

**UNIVERSITY OF MASSACHUSETTS
AMHERST•BOSTON•DARTMOUTH•LOWELL•MEDICAL SCHOOL•UMASSONLINE**

**MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES**

**Wednesday, September 21, 2016; 9:00 a.m.
University of Massachusetts Lowell
Inn & Conference Center
Main Ballroom
50 Warren Street
Lowell, Massachusetts**

Trustees Present: Chair Woolridge; Vice Chair Furman; Trustees Buonomo, Campbell, Carpman, Delaney, Fatemi Ardestani, Feinberg, Johnston, Lee, Manning, Mullan, Osterhaus-Houle, Peters, Peyser, Reed, Thomas and Xifaras

Trustees Absent: Trustees Collins, Epstein, Fubini and Odam

University Administration: President Meehan; General Counsel Heatwole; Chancellors Subbaswamy, Motley, Helm, Moloney and Collins

Faculty Representatives: Professor Whitbourne, UMass Amherst; Professor Lublin, UMass Boston; Professor Johnston, UMass Lowell; Professor Shaw, UMass Medical School

Documents Used: -Meeting Minutes: June 15, 2016 (Open & Executive Session)
-Special Meeting Minutes: July 14, 2016 (Open Session)

Chair Woolridge convened the meeting at 9:01 a.m. He stated that the Board was provided with the draft minutes of the open and executive session meeting on June 15, 2016 and the minutes of the July 14, 2016 special meeting and asked for any corrections. With no corrections, the minutes were approved.

Reports:

Chair's Report

Chair Woolridge highlighted the University's national rankings by *U.S. News and World Report*. He stated that the four undergraduate campuses are in the top tier of the rankings and he congratulated the Chancellors and their staff for their efforts and achievement. Chair Woolridge thanked Chancellor Moloney for hosting the Board meeting at the Lowell campus and noted that UMass Lowell continues to rise in reputation and is now ranked 78th among public universities in the national rankings; the campus is the second fastest rising university in the country. UMass Amherst became 27th among all national universities and 74th overall; the Boston and Dartmouth campuses continue to rise in rankings as indicated by the increase of graduation rates at UMass Boston and the new Carnegie classification of UMass Dartmouth as a doctoral research institution; and the Medical School ranks 16th in the nation for primary care and 52nd for

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research. Chair Woolridge noted that Researcher John Landers and his global team discovered a new gene that is believed to play a significant role in ALS—a finding that has the potential to vastly improve the understanding of the disease.

Chair Woolridge provided an update on the University's P-3 credit rating. UMass Boston received a BBB- and Baa3 credit rating grade from Standard & Poor's (now known as S&P Global) and Moody's on the P-3 Residence Hall project investment. He noted that growing enrollment trends on the Boston campus are a critical factor in the positive rating and announced that the groundbreaking for the project will take place in the fall. Chair Woolridge thanked President Meehan, UMass Building Authority's Executive Director, Patricia Filippone, and its Board, and Governor Baker and his administration for their support on this project.

Chair Woolridge noted the completion of President Meehan's performance evaluation. He provided an overview of the process required by Board policy and thanked the Board for their feedback and assistance in elevating the transparency of the review process.

Chair Woolridge thanked Trustees Lee, Carpman, Campbell, Xifaras, and Mullan for their service to the Board; welcomed student Trustees, Joshua Odam (UMass Amherst), Pantea Fatemi Ardestani (UMass Boston), Kevin Delaney (UMass Dartmouth), Malinda Reed (UMass Lowell), and Philip Feinberg (UMass Medical School); and announced the retirement of Tom Chmura, who lead the Economic Development team, and Robert Connolly, who led the communications team.

Chair Woolridge reported that the University is working aggressively to seek efficiencies to save money and improve the way it does business. E&E measures are saving a projected \$293 million through 2019. He also reported that the Board will vote to accept the recommendations of the A&F Committee to approve the new Non-Discrimination and Harassment Policy which strengthens the University's commitment to equal opportunity, tolerance, diversity, and personal safety.

Student Trustee Report, UMass Lowell

Trustee Reed welcomed the Board to the Lowell campus and commented on her reason for having selected the University of Massachusetts Lowell as her first choice school.

Trustee Reed noted that UMass Lowell has gone from being unranked to ranking 152 in *U.S. News and World Report*, and ranked as the 78th public university. She also stated that UMass Lowell has 17,500 students, 32% of which are diverse; an incoming Honors class of 590 students; has the largest incoming freshman class in the University's history with the highest GPA and SAT scores; and 90% of student need is being met with financial aid.

Trustee Reed commented on her observation of the development of many projects at UMass Lowell including the Inn & Conference Center, University Crossing, Riverview Suites, University Suites, McGauvran Center, and the Health and Social Sciences building. She thanked the UMass Lowell administration, faculty, staff and alumni and indicated that without the

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guidance of the Board of Trustees, pursuing excellence while maintaining affordability would not be possible.

President's Report

President Meehan announced former UMass CIO Bob Solis' new position at MIT as CIO for the Lincoln Laboratory; and Tom Chmura, who lead the Economic Development team and Robert Connolly, who led the communications team retired from the University. President Meehan also announced that Chairman Woolridge was recently honored with the National TRIO Achievers award by the Council for Opportunity in Education.

President Meehan provided highlights of activity across the campuses which included UMass Lowell enrolling its largest and most diverse class ever and being named STARS Gold rated institution by the Association for the Advancement of Sustainability in Higher Education; A UMass Amherst campus record of 16 Fulbright scholarships awarded to students to study research or teach English in other countries; UMass Boston, in collaboration with WBUR and the Boston Globe, to co-sponsor a series of debates addressing the ballot questions that will go before the voters in the November presidential election; UMass Dartmouth having recently received an \$862,000 "blue economy" grant to continue its work, in collaboration with others, on unmanned underwater vehicles, and to foster the development of marine-technology startup companies in Southeastern Massachusetts; and the Medical School launched the public phase of its \$250 million comprehensive campaign "Pathways of Promise" to support its ongoing pursuit of excellence and to shape advances in biomedical research, education, and community and global engagement well into the 21st century.

President Meehan provided an update on the progress of the UMass Dartmouth Law School's accreditation. President Meehan along with the Chancellor and Dean traveled to Chicago to present to the American Bar Association's (ABA) Accreditation Committee. The final step to accreditation will be a presentation to the ABA Council in December if the Accreditation Committee makes a favorable decision.

President Meehan stated that all four of the University's undergraduate campuses were rated in the top category in the *U.S. News & World Report's Best College Rankings*. After highlighting several other achievements across the 5-campus system, President Meehan stated that significant partnerships are being established across the Commonwealth in the sectors that are going to be of critical importance to the state's economic future.

Reports of Standing Committees:

Committee on Academic and Student Affairs

Trustee Lee thanked the Board, the former Patrick Administration, the Baker Administration, and the University for the opportunity to serve as a Trustee. She reported that the Committee on Academic and Student Affairs met on September 7, 2016 and approved the June 1, 2016 CASA meetings held in both open and executive session and the minutes of the November 19, 2014

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meeting of the Task Force on Athletics. The Committee heard reports from the Vice Chair, President Meehan, Senior Vice President Williams, and the Athletic Directors.

Trustee Lee stated the Committee considered and voted on three action items and voted to place those items on the Consent Agenda for the Board's action. The Committee then voted to enter into Executive Session to consider three action items which were voted and approved for inclusion in the Consent Agenda for the Board's action.

Committee on Administration and Finance

Trustee Buonomo reported that the Committee on Administration and Finance met on September 14th and the Committee Chair reported on the revenue collections for the first couple of months of the fiscal year and President Meehan reported on the fiscal year budget challenges and necessary adjustments needed to ensure efficiencies and defer planned initiatives are met to balance the FY17 budget. Associate Vice President Preble provided an overview of the Non-Discrimination and Harassment Policy; Senior Vice President Wilda reported on the five-year financial forecast for FY17-21 highlighting the change to assumptions for state funding and tuition increases; and Associate Vice President Gorzkowicz and Budget Director Pasquini reviewed the FY17-21 Capital Plan and the Quarterly Capital Report.

The Committee considered and voted on five action items and voted to place those items on the Consent Agenda for the Board's action.

Advancement Committee

Trustee Peters reported that the Advancement Committee met on September 7, 2016 and approved the minutes of the June 1, 2016 meeting. Vice President Pagnam provided an update on the professional development programs developed by the UMass Foundation for campus Advancement staff across the system. The campuses also reported on their 4th quarter fundraising results and highlighted key areas of their advancement plans for FY17.

The Committee Chair provided an update on several important events and initiatives. He also provided an overview of the campus visits that he, President Meehan, and Vice President Pagnam made to each campus. The UMass Foundation engaged Washburn & McGoldrick, LLC to perform an assessment of what constitutes the characteristics and best practices of advancement programs at America's most successful public and private universities. Trustee Peters noted that the findings provide excellent insight and opportunities to consider how to enhance the University's current advancement operations system-wide. He also stated that he compared his observations from the campus visits against best practices and will update Chairman Woolridge about steps the University might consider to align with those best practices.

Governance Committee

Trustee Johnston reported that the Governance Committee met on September 21st and approved the minutes of the August 3, 2015 meeting. The Committee considered and voted on the 2016-2017 Officers and Committee Leadership.

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Chair Woolridge then asked for a motion to approve the **2016-2017 Officers and Committee Leadership**. Chair Woolridge asked for questions or discussion.

It was moved and seconded.

VOTED: To confirm and approve the 2016-2017 Officers and Committee Chairs of the Board of Trustees as set forth in Doc. T16-062; all persons to serve until the next annual meeting of the Board.

Consent Agenda:

Chair Woolridge reported that at its September 7, 2016 meeting the Committee on Academic and Student Affairs voted to place items 1-5 on the Consent Agenda; and that at its September 14 meeting, the Committee on Administration and Finance voted to place items 6-10 on the Consent Agenda.

Chair Woolridge asked for a motion to approve items 1-10 by a single vote on the Consent Agenda.

It was moved and seconded.

VOTED: To approve the following votes listed as 1-10 on the Consent Agenda:

The Committee on Academic and Student Affairs approved items 1-5 on September 7, 2016.

1. B.A. in Environmental Studies and Sustainability, UMass Boston (Doc. T16-049)

To approve the B.A. in Environmental Studies and Sustainability at the University of Massachusetts Boston as contained in Doc. T16-049.

2. Appointments to Named Professorships, UMass Amherst, Lowell (Doc. T16-050)

To concur with the President in the appointment of Wesley Autio to the Alpha Tau Gamma Fred P. Jeffrey Professorship at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Thomas J. McCarthy as Distinguished Professor at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of D. Julian McClements as Distinguished Professor at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Steven C. Tracy as Distinguished Professor at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Ramaswamy Nagarajan to the James V. Dandeneau Endowed Professorship at the University of Massachusetts Lowell.

And further, To concur with the President in the appointment of James Sherwood to the Howard P. Foley Endowed Professorship at the University of Massachusetts Lowell.

And further, To concur with the President in the appointment of Joey Mead to the David and Frances Pernick Endowed nanotechnology Professorship at the University of Massachusetts Lowell.

And further, To concur with the President in the appointment of Stephen McCarthy to the Mark and Elisia Saab Endowed Professorship at the University of Massachusetts Lowell.

And further, To concur with the President in the appointment of Seongkyu Yoon to the Robert and Gail Ward Endowed Professorship at the University of Massachusetts Lowell.

3. Administrative Action Required to Formally Rescind Superseded Policies (Docs. T99-049, T00-045, T02-032)

To rescind the following University of Massachusetts Medical School Policies: Faculty Dismissal Policy, Doc. T99-049; Periodic Multiyear Review of Tenured Faculty (PMYR) Policy, Doc. T00-045; and the Tenure Definition Policy, Doc. T02-032.

4. Appointments with Tenure, UMass Boston, Dartmouth, Lowell, Medical School (Doc. T16-052)

To concur with the President in the appointment of Steve Striffler as professor with tenure in the Department of Anthropology at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of David Klamen as professor with tenure in the Department of Fine Arts at the University of Massachusetts Dartmouth.

And further, To concur with the President in the appointment of Olof Ramström as professor with tenure in the Department of Chemistry at the University of Massachusetts Lowell.

And further, To concur with the President in the appointment of Nouredine Melikechi as professor with tenure in the Department of Physics and Applied Physics at the University of Massachusetts Lowell.

And further, To concur with the President in the appointment of Cole Haynes as associate professor with tenure in the Department of Molecular, Cell and Cancer Biology at the University of Massachusetts Medical School.

And further, To concur with the President in the appointment of Shlomit Schaal as professor with tenure in the Department of Ophthalmology at the University of Massachusetts Medical School.

5. Awards of Tenure, UMass Medical School (Doc. T16-053)

To concur with the President in the awards of tenure to the following individuals in the following Departments:

MEDICAL SCHOOL **Shaoguang Li**, Department of Medicine
 David Smelson, Department of Psychiatry

The Committee on Administration and Finance approved items 6-10 on September 14, 2016.

6. Non Discrimination and Harassment Policy (Doc. T16-040)

To approve the Non Discrimination and Harassment Policy which establishes what each campus' policies and procedures must provide to address issues of discrimination and harassment, and to authorize the President or his/her designee to issue Standards to be followed by each campus conduct code.

And further, To rescind the Sexual Harassment Policy, Doc. T92-037.

7. Fiscal Year 2018 State Budget Request Authorization (Doc. T16-056)

To authorize the President to prepare and submit the University's Fiscal Year 2018 State Budget request per the Board's Governance policy (T73-098).

8. Approval of the University's FY2017–FY2021 Capital Plan (Doc. T16-057)

Pursuant to Trustee Policy T93-122, as amended, to approve the University's Five-year Capital Plan (FY2017 to FY2021) as described in Doc. T16-057 and to satisfy the University's written request that the UMass Building Authority undertake projects in accordance with Board of Trustees vote T16-058. Approval of the University's capital plan does not constitute approval of the individual projects and each project must follow the capital project approval process pursuant to Trustee Policy T93-122.

And further,

With respect to any or all of the projects identified in the University's 5 Year Capital Plan, Doc. T16-057, and in the Approved Capital Project list, as reviewed and amended quarterly, to authorize the President of the University and such other officers of the University as he may designate (the President and each such other officer referred to herein as an "Authorized Officer"), each acting singly, in the name and on behalf of the trustees, the University or the Commonwealth, as appropriate, (a) to effectuate the financing of one or more or all of such projects by such means as such Authorized Officer shall deem advisable, including without limitation by requesting in writing the University of Massachusetts Building Authority, the Massachusetts Development Finance Agency or any other statutorily qualified issuer in the Commonwealth to issue debt therefor, or to request the Worcester City Campus Corporation to effectuate the financing thereof through any such issuer of debt, (exclusive of capitalized interest, debt service reserve funds, cost of issuance of the obligations, costs of bond insurance or other

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credit enhancement and other cost of the financing), and, (b) in connection with any such financing, to take such actions (including without limitation the execution of the guaranty of the Commonwealth on any obligation guaranteed by the Commonwealth), secure such governmental approvals, execute such agreements (including without limitation contracts for financial assistance, management and services, contracts for management and services or leases or subleases) containing such provisions and provide for such terms and sources of repayment, all as such Authorized Officer shall deem advisable and as may be required or permitted by law, including without limitation St. 1960, c. 773, §_19A, as amended, and St. 1992, c. 138, §_15A, as inserted by St. 2000, c. 159, §_303.

9. Approval of Changes to the Approved Capital Projects List (Doc. T16-067)

Pursuant to Trustee Policy T93-122, as amended to approve the following projects under the second vote or “**Full Project Approval**” and to satisfy the University’s written request that the UMass Building Authority undertake projects in accordance with Board of Trustees vote T16-058:

Campus	Project Name	Preliminary Campus Estimate	Full Project Approval (Second Vote)	Funding Source				
				Local Funding	External Funding	Borrowed Funding	State Funding	P3
Amherst	Isenberg School of Management renovations and addition	\$62,000,000	\$62,000,000	-	\$16,000,000	\$46,000,000	-	-
Boston	Construct New Garage Facility (Master Plan Phase I)	\$45,000,000	\$71,000,000	-	-	-	-	71,000,000.00
Boston	Construct University Dining Facility in New Residence Hall 1	\$18,000,000	\$18,000,000	-	-	\$18,000,000	-	-
Boston	Renovations to Existing Academic Buildings (McCormack Hall and Wheatley Hall) (Master Plan Phase I)	\$75,000,000	\$45,000,000	-	-	\$45,000,000	-	-
Boston	Elevator Renovations in Clark Athletic Center, McCormack Hall, Quinn Administration Building, and Wheatley Hall	\$3,300,000	\$8,300,000	\$2,700,000	-	\$5,600,000	-	-
Boston	Clark Athletic Center: Replace Gymnasium Roof and East Curtain Wall and Repair Rink South Façade	\$5,250,000	\$5,250,000	\$625,000	-	\$3,000,000	\$1,625,000	-
Boston	Fox Point Dock: Upgrades and ADA Accessibility	\$2,500,000	\$2,500,000	-	-	\$2,500,000	-	-
Total		\$211,050,000	\$212,050,000	\$3,325,000	\$16,000,000	\$120,100,000	\$1,625,000	\$71,000,000

And further,

Pursuant to Trustee Policy T93-122, as amended to approve the following projects that **exceeded the Approved Project cost by more than 10%** and to satisfy the University’s written request that the UMass Building Authority undertake projects in accordance with Board of Trustees vote T16-058:

Campus	Project Name	Full Project Approval (Second Vote)	Adjusted Cost	% Change
Boston	Utility Corridor and Roadway Relocation Project (Master Plan Phase I)	\$177,700,000	\$233,500,000	31%
Lowell	Fox Hall Elevators	\$14,000,000	\$18,000,000	29%
Total		\$191,700,000	\$251,500,000	31%

10. Resolution Authorizing Request for Initiation by UMass Building Authority of University Projects and Related Matters (Doc. T16-058)

WHEREAS, pursuant to Chapter 773 of the Acts of 1960, as amended (“Building

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Authority Enabling Act”), the University of Massachusetts Building Authority is a body politic and corporate and a public instrumentality of The Commonwealth of Massachusetts (the “Commonwealth”) created to aid and contribute to the performance of the education and other purposes of the University of Massachusetts (the “University”) by providing buildings and other structures for the use of the University, its students, staff and their dependents and for lease to or use by an organization or association, in any form, of students or others the activities of which are a part of the activities at the University and subject to regulation by the Board of Trustees of the University (the “Trustees”);

WHEREAS, pursuant to the Building Authority Enabling Act, the Building Authority may issue bonds to finance projects undertaken by the Building Authority at the request of the Trustees, or, with the approval of the Trustees, issue refunding bonds, all of which bonds are secured, in part, by revenues from the Building Authority, the University and the Commonwealth, as authorized by the Trustees on behalf of the University and the Commonwealth;

WHEREAS, in the judgment of the Trustees it is in the best interests of the University, its students, staff and their dependents and said organizations that the Building Authority undertake projects and finance such projects as described below;

WHEREAS, in the judgment of the Trustees it is in the best interest of the University for the Building Authority to refund bonds when market conditions are favorable; and

WHEREAS, the Building Authority’s enabling act authorizes the Trustees, on behalf of the Commonwealth, to provide a Commonwealth guaranty of not to exceed \$200,000,000 outstanding principal amount of Building Authority Bonds;

NOW, THEREFORE,

1. Each of the President and the Senior Vice President for Administration & Finance and Treasurer of the University, acting alone, be and each, acting alone, (each, an “Authorized Officer”) hereby is authorized in the name and on behalf of the Trustees to make a written request of the Building Authority that the Building Authority initiate and undertake:
 - (a) Any or all of the projects identified in the University’s 2017-2021 Capital Plan, Doc. T16-067, and in the Approved Capital Project list, as reviewed and amended quarterly, and to the extent consistent with the Plan; and
 - (b) Any other construction of or respecting or renovation, repair, remodeling or other work in or upon or respecting any building or structure owned or used by the University or the Building Authority, or the provision, installation, renovation, repair or remodeling of or other work upon or respecting any furnishings, furniture,

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machinery, equipment or facilities with respect to any such building or structure, all as may be requested of the Building Authority from time to time by an Authorized Officer, the making of any such request being hereby authorized.

2. The projects to be initiated by the Building Authority in accordance with any request authorized to be made in Section 1 of this resolution may in the discretion of the Building Authority be undertaken separately or together for financing purposes.
3. The issuance of refunding bonds by the Building Authority is hereby approved, in such amount and on such terms as shall be approved in writing by an Authorized Officer.
4. Each Authorized Officer hereby is authorized to execute and deliver on behalf of the University and the Commonwealth one or more contracts for financial assistance, management and services with respect to the projects financed or refinanced by the Building Authority and the Building Authority bonds issued in connection therewith, including any refunding bonds. Each such contract may include the Commonwealth guaranty of all or a portion of such bonds, as determined by an Authorized Officer and within the limits prescribed by the Building Authority's enabling act.
5. Each Authorized Officer is hereby authorized to take such other action as deemed necessary or desirable to be done or taken to effectuate the matters hereby authorized, as conclusively evidenced by their doing the things or taking the action hereby authorized.

The next item under **Other Business** was the **Department of Defense, Exclusion from Personnel Clearance Requirements**. Chair Woolridge stated that this is a vote for new trustees to be included on the Exclusion List.

Chair Woolridge asked for questions and discussion.

It was moved and seconded.

VOTED: WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chairman of the Board, the President, and the designated Facility Security Officer meet the personnel clearance requirements established for a contractor's facility clearance; and

WHEREAS, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees and other officers, provided that this action is recorded in the minutes.

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NOW, THEREFORE, BE IT DECLARED that the Chairman of the Board, the President, and the designated Facility Security Officer at the present time do possess, or will be processed for, the required security clearance; and

BE IT RESOLVED that in the future, when any individual enters upon any duties as Chairman of the Board, the President, or the designated Facility Security Officer, such individual shall immediately make application for the required security clearance; and

BE IT RESOLVED FURTHER that the following members of the Board of Trustees and other officers shall not have, and can be effectively excluded from access to all classified information in the possession of the corporation and do not occupy positions that would enable them to affect adversely policies or practices in the performance of classified contracts for the Department of Defense or the User Agencies of its Industrial Security Program:

<u>NAME</u>	<u>TITLE</u>
James R. Buonomo	Trustee
Richard P. Campbell	Trustee
Lawrence M. Carpman	Trustee
Edward W. Collins, Jr.	Trustee
Kevin F. Delaney	Trustee
Robert Epstein	Trustee
Pantea Fatemi Ardestani	Trustee
Philip A. Feinberg	Trustee
David G. Fubini	Trustee
Maria D. Furman	Trustee
Philip W. Johnston	Trustee
Alyce J. Lee	Trustee
Robert J. Manning	Trustee
Jeffrey B. Mullan	Trustee
Joshua S. Odam	Trustee
Kerri Osterhaus-Houle	Trustee
R. Norman Peters	Trustee
James A. Peyser	Trustee
Malinda E. Reed	Trustee
Henry M. Thomas, III	Trustee
Margaret D. Xifaras	Trustee

(Doc. T16-063)

Chair Woolridge then asked that the Board vote to enter into Executive Session to consider Honorary Degrees, UMass Amherst, Boston, Lowell, Medical School pursuant to Massachusetts General Law, Chapter 75, Section 4.

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Chair Woolridge announced that the Committee will not reconvene in open session.

It was moved and seconded and the Secretary called the roll with each Trustee asked to vote yes or no to enter into Executive Session for the purpose listed. Chair Woolridge voted for the motion as did Vice Chair Furman; Trustees Buonomo, Campbell, Carpman, Delaney, Johnston, Lee, Manning, Mullan, Osterhaus-Houle, Peters, Peyser, Reed, Thomas and Xifaras.

The time was 10:12 a.m.

Christina Kelley
Assistant Secretary to the Board of Trustees