

**UNIVERSITY OF MASSACHUSETTS  
AMHERST•BOSTON•DARTMOUTH•LOWELL•MEDICAL SCHOOL•UMASSONLINE**

**MINUTES OF THE MEETING OF THE  
BOARD OF TRUSTEES**

**Wednesday, June 17, 2015; 9:00 a.m.  
University of Massachusetts Amherst  
Massachusetts Room  
Mullins Center – 3<sup>rd</sup> Floor  
200 Commonwealth Avenue  
Amherst, Massachusetts**

**Trustees Present:** Chair Woolridge; Vice Chair Furman; Trustees Buonomo, Carpman, Collins, Freudson, Fubini, Geoffroy, Gomez, Johnston, Lee, Lowe, Miller, Mullan, Osterhaus-Houle, Peters, Peysner, Thomas and Xifaras

**Phone Participation:** Vice Chair King-Shaw; Trustee O'Brien

**Trustees Absent:** Trustee Campbell

**University Administration:** President Caret; General Counsel Heatwole; Senior Vice President Wilda; Chancellors Subbaswamy, Motley, Grossman, Meehan (President-elect) and Collins

**Faculty Representatives:** Professor Whitbourne, UMass Amherst; Professor Tirrell, UMass Boston; Professor Buzawa, UMass Lowell

**Documents Used:** -Meeting Minutes, April 1, 2015 (Joint CASA/A&F, Open Session)  
-Meeting Minutes, April 8, 2015  
-Meeting Minutes, May 1, 2015 (Special Meeting)

Chair Woolridge convened the meeting at 9:03 a.m. and stated that he has determined that Trustees King-Shaw and O'Brien will be participating by telephone as permitted by 940 CMR 29.10(5), since they are geographically distant from the meeting making it unreasonably difficult for them to attend in person.

Chair Woolridge stated that the Board was provided with draft minutes of the April 1, 2015, Joint CASA and A&F meeting, the April 8 Board of Trustees meeting, and the May 1 special Board meeting. The Chair asked for any corrections. With no corrections, the minutes were approved.

**Reports:**

**Chair's Report**

Chair Woolridge welcomed the Board to the Amherst campus and thanked Chancellor Subbaswamy for hosting the Board at the flagship campus. Chair Woolridge provided an

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overview of yesterday's Committee of the Whole discussion on ongoing E&E efforts of each campus. He also highlighted commencements and campus events and accomplishments of 2015.

Chair Woolridge announced that Trustee Gomez has resigned from the UMass Board of Trustees and congratulated her on her appointment by Governor Baker to serve on the Judicial Nominating Commission. Chair Woolridge congratulated out-going student Trustees Sarah Freudson, Phillip Geoffroy, and Patrick Lowe for their service to the Board, and thanked President Caret for his leadership to the University System. He also welcomed President-elect Meehan.

Chair Woolridge provided an overview of the day's agenda including the establishment of a Search Committee for a Chancellor for the Lowell campus and approval of tuition and fee charges. Chair Woolridge then announced the establishment of the Governance Committee membership: Trustees King-Shaw, Chair; Johnston, Vice Chair; Furman, Mullan, and Chair Woolridge. The Committee will meet over the summer and report to the Board in the fall.

**Student Trustee Report**

Trustee Freudson thanked the Board and commented on her experience as a Trustee. She reported on students concerns on the Amherst campus which included the importance of campus safety, opposition of the proposed tuition and fee increases, and the potential investment in a new healthcare facility on campus.

**Public Speakers**

Eric Berlin, Principal trumpet of the Albany Symphony, from the Amherst and Carl Ericson, incoming UMass Amherst co-Chair, Joint Executive Council, Professional Staff Union/MTA/NEA commented on the wage increases and un-negotiated union contracts.

Charlotte Kelly, UMass Amherst Student, commented on the proposed tuition and fees increase and expressed her opposition of the fees. She urged the Board to continue to exercise affordability.

**President's Report**

President Caret thanked Chancellor Subbaswamy for hosting the Board and reflected on the learning, challenges, and accomplishments during his tenure as UMass President. He then noted several remarkable achievements on each campus and system-wide including being named the top public university in New England and one of the 100 best universities in the world.

President Caret thanked Trustees Gomez, Freudson, Geoffroy and Lowe for their service to the Board and the University. President Caret commented that Trustees Freudson and Geoffroy are members of the 2015 graduating class. He also commented on the completion of the commencement season where UMass graduated 17,000 students.

President Caret commented on the proposed tuition and fees increase. He stated that in recent years the University has performed aggressive cost-reduction and avoidance programs in addition to the unprecedented levels of support from the state to be able to freeze tuition and fees and forged a partnership that yielded great benefits for the students and their families.

**Reports on the Standing Committees:**

**Committee on Academic and Student Affairs**

Trustee Lee thanked the student Trustees for their service to the Board and CASA. She also thanked President Caret and the Chancellors for their tireless efforts to improve student success.

Trustee Lee reported that the Committee on Student and Academic Affairs met on June 3<sup>rd</sup>. The Committee discussed two information items and action items 1, 3, and 4 were placed on the Consent Agenda for Board action.

Trustee Lee then asked the Chair to entertain a motion to approve the **Ph.D. in Business Administration, UMass Dartmouth**. Chair Woolridge asked for questions and discussion.

Trustee Peyser expressed his concerns and opposition regarding the vote. He recommended further discussion by the Board before forwarding to the Board of Higher Education. Trustee Lee and Provost Karim discussed the process by which the program vote was established.

After further discussion, it was moved and seconded and the Secretary called the roll with each Trustee asked to vote yes or no. Chair Woolridge voted for the motion as did Vice Chairs Furman and King-Shaw; Trustees Buonomo, Campbell, Carpman, Collins, Geoffroy, Johnston, Lee, Lowe, Mullan, Osterhaus-Houle, Peters, Thomas and Xifaras. Trustees Fubini and Peyser abstained.

**VOTED:** To approve the Ph.D. in Business Administration at the University of Massachusetts of Dartmouth as contained in Doc. T15-048.

Vice Chair King-Shaw's phone participation ended at 10:22 a.m.

**Committee on Administration and Finance**

Trustee Buonomo reported that the Committee on Administration and Finance met on June 10<sup>th</sup>. The chair provided an overview of the State tax revenue collections, reported on the *Boston Globe* article, "Who will bankroll UMass?" UMass Amherst students, Charlotte Kelly and Jeremy Tibbetts expressed their concerns about the proposed \$250 technology fee. The Committee also heard a presentation on the 10 key metrics for the budget and fee discussion, an overview of the FY16 operating budget, an overview of the proposed fees increase and a quarterly capital projects report. The Committee approved 5 action items and voted to put items 1, 4 and 5 on the Consent Agenda for Board action.

Trustee Buonomo then asked the Chair to entertain a motion to approve the **FY2016 University Operating Budget**. Chair Woolridge asked for questions and discussion.

It was moved and seconded.

**VOTED:** To approve the FY2016 University Operating Budget and to authorize the President to approve changes, as needed, once the General Appropriations Act for FY2016 is signed into law. (Doc. T15-054)

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Trustee Buonomo asked the Chair to entertain a motion to approve the **Mandatory Student Charges and Authorization for the President to Approve all other Charges for Academic Year 2015-2016.**

Chair Woolridge asked for questions and discussion. Trustees Peyser, Geoffroy, and Carpman expressed their opposition of the proposed fee increases.

It was moved and seconded.

**VOTED:** 1. In accordance with Section 1A of Chapter 75 of the General Laws, and Policy T92-031, Appendix E, to authorize the President to approve, no later than June 30, 2015, or upon the General Appropriations Act for FY2016 becoming law, the individual rates for mandatory student charges, which includes tuition, the mandatory curriculum fee and all other mandatory student fees and to expand the mandatory technology fee to the Amherst and Dartmouth campuses. The total mandatory student charges shall not exceed the total rates as outlined below for the Amherst, Boston, Dartmouth and Lowell campuses for academic year 2015-2016:

<b><u>Total Mandatory Student Charges</u></b>	<b><u>Amherst</u></b>	<b><u>Boston</u></b>	<b><u>Dartmouth</u></b>	<b><u>Lowell</u></b>
Undergraduate (resident)	\$14,171	\$12,682	\$12,588	\$13,427
Undergraduate (regional)	\$23,567			
Undergraduate (non-resident)	\$30,504	\$29,920	\$26,173	\$29,125
Graduate (resident)	\$14,754	\$16,115	\$14,973	\$13,799
Graduate (regional)	\$24,657			
Graduate (non-resident)	\$29,974	\$31,115	\$26,173	\$24,478
Graduate Law School (resident)			\$24,541	
Graduate Law School (non-resident)			\$32,293	

And further, in accordance with Section 1A of Chapter 75 of the General Laws, to establish and approve the following tuition and maximum mandatory fee rates for the Worcester campus which only includes graduate programs and does not include undergraduate programs for academic year 2015-2016:

<b><u>Medical School</u></b>	<b><u>Tuition</u></b>	<b><u>Mand. Fees</u></b>	<b><u>Total</u></b>
Medical School (resident)	\$8,352	\$17,158	\$25,510
Medical School (resident) Class of '19 and beyond	\$8,352	\$25,665	\$34,017
Medical School (non-resident)	\$0	\$58,517	\$58,517
PH.D/MD. (resident)	\$2,640	\$24,540	\$27,180
Ph.D/MD. Years 1-2 (non-resident)	\$9,856	\$37,357	\$47,213
Ph.D/MD. Years 3+ (non-resident)	\$9,856	\$27,501	\$37,357
Graduate School of Nursing (resident)	\$2,640	\$8,354	\$10,994
Graduate School of Nursing (non-resident)	\$9,856	\$8,354	\$18,210
Grad. School of Biomedical Science (resident)	\$2,640	\$4,326	\$6,966
Grad. School of Biomedical Science(non-resident)	\$9,856	\$4,326	\$14,182

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2. And further, in accordance with Section 1A of Chapter 75 of the General Laws and T92-031 Appendix E, to authorize the President to approve, no later than June 30, 2015, final student housing rent and food service charges providing that the average total charge to undergraduate Massachusetts resident students shall not exceed the standard rates for academic year 2015-2016:

<b><u>Room and Board (average rate)</u></b>	<b><u>Amherst</u></b>	<b><u>Boston</u></b>	<b><u>Dartmouth</u></b>	<b><u>Lowell</u></b>
	\$11,503	N/A	\$11,626	\$11,670

3. And further, to authorize the President to approve, no later than June 30, 2015, individual fee rates for other student fees and student fee/revenue based operations charges not already approved by the Board for academic year 2015-2016. (Doc. T15-055)

Trustees Carpman, Geoffroy, Gomez and Peyser voted against the motion.

**Audit Committee**

Trustee Furman stated that the Audit Committee met on June 10<sup>th</sup> and accepted the minutes of the prior meeting. The Committee heard presentations from Director Kyle David and Senior Manager Brian McCormick. The presentation discussion topics included an overview of the Internal Audit's mission and purpose, recent changes to staffing and qualifications, audit process, external independent assessment of Internal Audit and the 2016 Internal Audit plan which focuses on resources, industry benchmarks, and risks to completing the audit plan. The Grant Thornton team reviewed the firm's fiscal year 2015 Audit Scoping Presentation, and required communications and industry trends and metrics, risk assessment process, and the firms' responsibilities and audit scope.

Trustee Furman then asked the Chair to entertain a motion to approve the **FY2016 Internal Audit Plan**. Chair Woolridge asked for questions and discussion.

It was moved and seconded.

**VOTED:** To approve the following:

- FY2016 Internal Audit Plan (Doc. T15-062)

**Consent Agenda:**

Chair Woolridge reported that at its June 3, 2015 meeting, the Committee on Academic and Student Affairs voted to place items 1-5 on the Consent Agenda; and at its June 10, 2015 meeting, the Committee on Administration and Finance voted to place items 6-8 on the Consent Agenda.

Chair Woolridge asked for a motion to approve Items 1-8 by a single vote on the Consent Agenda.

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It was moved and seconded.

**VOTED:** To approve the following votes listed as 1-8 on the Consent Agenda:

The Committee on Academic and Student Affairs approved items 1-5 on June 3, 2015.

1. **Ph.D. in Education, UMass Amherst (Doc. T15-047)**

To approve the Ph.D. in Education at the University of Massachusetts Amherst as contained in Doc. T15-047.

2. **Appointments to Named Professorships, UMass Amherst, Medical School (Doc. T15-049)**

To concur with the President in the appointment of James Heintz as the Andrew Glyn Professor of Economics at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Douglas Golenbock to the Pillar Chair in Biomedical Research at the University of Massachusetts Medical School.

And further, To concur with the President in the appointment of Mary Min-chin Lee to the Stoddard Chair of Pediatrics at the University of Massachusetts Medical School.

3. **Revisions to the Code of Student Conduct, UMass Lowell (Doc. T94-059, as amended)**

To approve the revisions to the Code of Student Conduct at the University of Massachusetts Lowell as contained in Doc. T94-059, as amended.

4. **Appointments with Tenure, UMass Amherst, Dartmouth, Lowell (Doc. T15-050)**

To concur with the President in the appointment of Kiran Asher as associate professor with tenure in the Department of Women, Gender, Sexuality Studies at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of James Heintz as professor with tenure in the Department of Economics at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Friederike Jentoft as professor with tenure in the Department of Chemical Engineering at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Gabriela Weaver as professor with tenure in the Department of Chemistry at the University of Massachusetts Amherst.

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And further, To concur with the President in the appointment of Janet Sobczak as associate professor with tenure in the Department of Community Nursing at the University of Massachusetts Dartmouth.

And further, To concur with the President in the appointment of Mehdi Boroujerdi as professor with tenure in the Department of Pharmaceutical Sciences at the University of Massachusetts Lowell.

**5. Awards of Tenure, UMass Amherst, Boston, Dartmouth, Lowell, Medical School (Doc. T15-051)**

To concur with the President in the award of tenure to the following individuals in the following Departments:

**AMHERST**

**DEEPANKAR BASU**, Economics  
**ALEJANDRO L. BRISENO**, Polymer Science and Engineering  
**ANDY J. DANYLCHUK**, Environmental Conservation  
**ANGELA DE OLIVEIRA**, Resource Economics  
**AHMED GHONIEM**, Operations and Information Management  
**JULIE GODDARD**, Food Science  
**DAEYOUNG KIM**, Mathematics and Statistics  
**DO-HOON KWON**, Electrical and Computer Engineering  
**KATHRYN LACHMAN**, Languages, Literatures, and Cultures  
**LISA MINTER**, Veterinary and Animal Sciences  
**YAHYA MODARRES-SADEGHI**, Mechanical and Industrial Engineering  
**TATISHE NTETA**, Political Science  
**SAM NUGEN**, Food Science  
**ALEXEI OBLOMKOV**, Mathematics and Statistics  
**ASHWIN RAMASUBRAMANIAM**, Mechanical and Industrial Engineering  
**KATHERINE W. REEVES**, Biostatistics and Epidemiology  
**TONY THORNTON**, Music and Dance  
**EVE VOGEL**, Geosciences  
**SARA WHITCOMB**, Student Development  
**JONATHAN WOODRUFF**, Geosciences  
**MELISSA WOOTEN**, Sociology  
**RYAN T. WRIGHT**, Operations and Information Management  
**MICHAEL ZINK**, Electrical and Computer Engineering

**BOSTON**

**KEITH BENTELE**, Sociology  
**JENNIFER BOWEN**, Biology  
**MICHAEL CARR**, Economics  
**ANNE DOUGLASS**, Curriculum and Instruction  
**ELIZABETH DUGAN**, Gerontology  
**ABBEY EISENHOWER**, Psychology  
**JANICE FOUST**, Nursing

**LISA KENNEDY SHELDON**, Nursing  
**MARC LAVINE**, Management and Marketing  
**AARON LECKLIDER**, American Studies  
**BOAZ LEVY**, Counseling and School Psychology  
**HEATHER MACINDOE**, Public Policy and Public Affairs  
**ELIZABETH MCCA HILL**, History  
**ALEX MUELLER**, English  
**ROSALYN NEGRON**, Anthropology  
**DAVID PANTALONE**, Psychology  
**JIN-HO PARK**, Psychology  
**DAVID PRUETT**, Performing Arts  
**LIAM REVELL**, Biology  
**JONATHAN ROCHFORD**, Chemistry  
**AMY SMITH**, Public Policy and Public Affairs  
**JULIE WRIGHT**, Exercise and Health Sciences  
**GUANG-XIN XIE**, Management and Marketing  
**CHANDRA YELLESWARAPU**, Physics  
**TONGJIAN YOU**, Exercise and Health Sciences  
**KUN YU**, Accounting and Finance

**DARTMOUTH**

**ROBERT DREW**, Biology  
**CHAN DU**, Accounting & Finance  
**KAREN GULBRANDSEN**, English  
**PAMELA KARIMI**, Art History  
**KATHRYN KAVANAGH**, Biology  
**YUZHU LI**, Decision & Information Sciences  
**LING LIN**, Accounting & Finance  
**ROBIN LOCKE ARKERSON**, Psychology  
**MERVE MERAL**, Economics  
**NEAL OLITSKY**, Economics  
**HONGGANG WANG**, Electrical & Computer Engineering

**LOWELL**

**NAMEMA HDI GARELNABI**, Clinical Laboratory & Nutritional Sciences  
**TINGJIAN GE**, Computer Science  
**CAROL HAY**, Philosophy  
**YING HUANG**, Marketing, Entrepreneurship and Innovation  
**STEPHEN JOHNSTON**, Plastics Engineering  
**MINJEONG KIM**, Graduate School of Education  
**KIMBERLY MERRIMAN**, Management  
**MELISSA MORABITO**, School of Criminology & Justice Studies  
**DONNA MULLIN**, Library  
**RICHARD SERNA**, Psychology  
**JANA SLADKOVA**, Psychology  
**DAVID WILLIS**, Mechanical Engineering



**MEDICAL SCHOOL ANDREW LEITER, Medicine**

The Committee on Administration and Finance approved items 6-8 on June 10, 2015.

6. **Establishment of the Stoddard Chair in Pediatrics and the Pillar Chair in Biomedical Research, UMass Medical School (Doc. T15-053)**

In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish The Stoddard Chair of Pediatrics at the University of Massachusetts Medical School.

And further,

In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish the Pillar Chair in Biomedical Research at the University of Massachusetts Medical School.

7. **Authorization to Allocate the FY16 State Appropriation (Doc. T15-056)**

To direct the President to allocate the amount appropriated for the University of Massachusetts in Line Item 7100-0200 of the General Appropriation Act for Fiscal Year 2016 to the campuses and the Central Administration of the University.

8. **Amendments to the University's Capital Plan (Doc. T14-089, as amended)**

Pursuant to Trustee Policy T93-122, as amended to approve the following projects under the second vote or "**Full Project Approval**" and to satisfy the University's written request that the UMass Building Authority undertake these projects in accordance with Board of Trustees vote T14-090:

<b><u>Campuses</u></b>	<b><u>Project Name</u></b>	<b><u>Full Project Approval – June 2015</u></b>
Amherst	Old Chapel Renovations	\$21,000,000
Amherst	Life Sciences Laboratories, OIT Data Center Fitout	\$10,100,000
Amherst	Life Sciences Laboratories, Backfill Renovations	\$18,000,000

**Other Business:**

The first item for approval was the **Resolution of Thanks and Appreciation – Robert Caret**. Chair Woolridge asked for questions or discussion.

It was moved and seconded.

**VOTED:** **WHEREAS**, Robert L. Caret was elected the 26th President of the University of Massachusetts system on January 13, 2011 and his tenure has been marked by successes in numerous areas, ranging from student affordability to a heightened focus on efficiency and transparency to a greater level of appreciation for the University and the contribution it makes to the Commonwealth and the world; and

**WHEREAS**, under his leadership, student enrollment has soared, the endowment has risen to a record level, a building boom has transformed the student and faculty experience across all five campuses, and the University's research and innovation accomplishments have received national and global acclaim. Today, the University of Massachusetts is an academic and research powerhouse, ranking as the No. 1 public university in New England and as one of the 100 best universities in the world; and

**WHEREAS**, a national champion of increased government support for public higher education, President Caret successfully pursued a funding formula (50/50) for the University system in which the Commonwealth and UMass students shared equally in the cost of education. His success in securing this additional funding from the state allowed UMass to freeze tuition and mandatory fees for in-state undergraduate students for the past two years – a major national accomplishment; and

**WHEREAS**, at the same time, President Caret emphasized efficiency and transparency, launching a number of cost-saving initiatives, focusing on both administrative and academic efficiencies. He also created a new accountability report, "UMass Performance: Accountable and on the Move," that lays out the University's goals and carefully tracks progress; and

**WHEREAS**, President Caret made it his mission to raise the stature of the University of Massachusetts and to tout its singular impact and importance to the state and its economy. Each year, he embarked on a statewide bus tour, traveling hundreds of miles and meeting with dozens of business, civic and political leaders and alumni in an effort to build public and private support for the University; and

**WHEREAS**, a native New Englander, President Caret joined the University after completing highly successful presidencies at San Jose State University and Towson University. A true leader and a visionary, President Caret's impact on the University of Massachusetts will be felt for years to come.

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**BE IT RESOLVED**, that the Board of Trustees of the University of Massachusetts, in a meeting assembled this seventeenth day of June two thousand and fifteen does hereby express on behalf of the entire University community its sincere best wishes and lasting gratitude to President Caret.

**FURTHER RESOLVED** that the friendship between the University of Massachusetts and President Caret remains strong and that we continue to work in partnership to make education in Massachusetts the envy of the world. (Doc. T15-045)

The next item for approval was the **Appointment of Chancellor's Search Committee, UMass Lowell**. Chair Woolridge commented that the Committee would be composed of University staff, faculty and Trustees and would be led by Trustee Norm Peters.

Chair Woolridge asked for questions or discussion. It was moved and seconded.

**VOTED:** Pursuant to Doc. T73-098, to appoint the following persons to the Search Committee for a new Chancellor at the University of Massachusetts Lowell:

Lisa Abdallah, Chair and Associate Professor, School of Nursing  
Lawrence Carpman, Partner, Northwind Strategies; UMass Board of Trustees  
Michael Carter, Chair and Associate Professor, Economics; Faculty Senate President; MSP  
Julie Chen, Vice Provost for Research  
James Christopher, Student Government Association President  
Jerry Colella, Chief Executive Officer and President, MKS Instruments, Inc.  
Nancy Donahue, Community Leader; Donor  
Robert Giles, Professor, Physics; Director, Submillimeter Wave Technology Laboratory  
Anita Greenwood, Dean, Graduate School of Education  
Charlie Hoff, Chairman, The Hoff Foundation, Inc.; Alumni/Donor  
John Kennedy, Former President & CFO, Nova Ventures Corporation; Alum/Donor  
Margaret Koziel, Assistant Vice Provost, Clinical and Translational Research, UMass Medical School  
Scott Latham, Interim Dean, Manning School of Business  
Charlotte Mandell, Vice Provost for Undergraduate Education  
Stephen McCarthy, Professor, Plastics Engineering/ Co-Director M2D2/ Co-Director Center for Irish Partnerships  
Carol McDonough, Professor, Economics; President, Massachusetts Society of Professors  
Keith Mitchell, Associate Professor, English  
Norman, Peters\* Chair, Advancement Committee; Founding Partner, Peters & Sowyrda; UMass Board of Trustees  
John Pulichino, Chairman and CEO Group III International, Ltd; Alumni/Donor  
Amanda Robinson, Student-elect Trustee

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Dana Skinner, Director of Athletics  
Kumble Subbaswamy, Chancellor, University of Massachusetts Amherst  
Marcie Williams, Senior Vice President for Academic and Student Affairs and  
International Relations  
Margaret Xifaras, Attorney, Law Offices of Lang, Xifaras & Bullard; UMass  
Board of Trustees  
\*Chair: Norm Peters

And further, to delegate to the Chair of the Board authority to charge the Search  
Committee. (Doc. T15-046)

There being no further business, the meeting adjourned at 11:23 a.m.

Christina Kelley  
Assistant Secretary to the Board