

**UNIVERSITY OF MASSACHUSETTS
AMHERST•BOSTON•DARTMOUTH•LOWELL•MEDICAL SCHOOL•UMASSONLINE**

**MINUTES OF THE MEETING OF THE
COMMITTEE OF THE WHOLE**

**Tuesday, September 16, 2014; 4:00 p.m.
University of Massachusetts Lowell
University Crossing
Moloney Ballroom B, 2nd Floor
220 Pawtucket Street
Lowell, Massachusetts**

Trustees Present: Chair Thomas; Vice Chair Furman; Trustees Buonomo, Collins, Freudson, Geoffroy, Johnston, Lee, Miller, Mullan, O'Brien, Osterhaus-Houle, Peters, Woolridge and Xifaras

Trustees Absent: Trustees Campbell, Carpman, Fubini, Gomez, King-Shaw, Lowe and Malone

University Administration: President Caret; General Counsel Heatwole; Senior Vice President Williams, Chancellors Subbaswamy, Motley, Grossman, Meehan and Collins

Faculty Representatives: Ms. Billings, UMass Amherst

Panelists: Jeff Hescok, Director of University Emergency Management and Business Continuity; Laurence Siegel, Associate Vice Chancellor, UMass Lowell; Ann Ciaraldi, Associate Dean, UMass Lowell; Dolores Stafford, President of Dolores Stafford Associates

Documents Used: -Meeting Minutes, June 17, 2014
-Enterprise Risk Council Update; 2014 UMass System Wide Risk Assessment; VAWA New Requirements Summary, Doc. T14-075

Chair Thomas convened the meeting at 4:09 p.m. and stated that the Board had been provided with a copy of the minutes from the June 17, 2014 meeting. With no corrections, the minutes were approved.

Reports:

Chair's Report:

Chair Thomas thanked Chancellor Meehan and commended the new University Crossing facility. He then reviewed the agenda for the meeting and its focus on safety and security, including the University's roles in emergency preparedness and sexual assault prevention and response.

President's Report:

President Caret commented on the day's agenda, noting the complexity of the issues.

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Discussion Items:

Director Hescock described the planning process underway as campuses and as a system with regard to emergency preparedness. He then described the history and objectives of the Enterprise Risk Council. He discussed hazard mitigation planning, continuity planning, enhancing emergency notification systems, information security and international risk management. Director Hescock also provided a Clery Act update and an overview of the ERM (Emergency Risk Management) initiative.

Vice Chair Furman asked where the University was in comparison to the 2012 plan and in comparison to peer systems. There was discussion about the progress made to get all five campuses on the same platform. Director Hescock noted that there were no national or New England statistics at this point.

Chair Thomas asked about an infectious disease plan. She also inquired about the resources available before and after an event, including physical and mental health resources.

Trustee Woolridge asked about vulnerabilities. Director Hescock noted several areas for improvement, including communication. There was discussion about what was entailed in a communications plan.

Trustee Buonomo asked whether or not ERM should be separate from emergency management and business continuity.

Trustee Mullan asked about any barriers to success with regard to host communities. There was discussion about collaboration with the host communities. Chief Fioravanti of UMass Dartmouth described the relationship between the campus and the surrounding communities, including local police chiefs, fire chiefs, and professional organizations.

General Counsel Heatwole reported on how the campuses were working together to address sexual assault prevention and response. She then introduced Ms. Dolores Stafford of D. Stafford and Associates, the firm retained by the University to work with the campuses on sexual assault and misconduct issues for consistency in reporting, audits and training.

Ms. Stafford described her role in helping universities with compliance with regard to the Clery Act and Title IX. Ms. Stafford provided an overview of the 'Violence Against Women Reauthorization Act' and national trends in higher education, including new reporting requirements and compliance mandates. She also described increased fines associated with violations in reporting for the Clery Act.

Associate Vice Chancellor Siegel and Associate Dean Ciaraldi from the Lowell campus provided an overview of system-wide efforts in training, awareness, events, and education to address sexual assault prevention and response. Associate Dean Ciaraldi described the various methods for training and awareness for students and new employees. She also reviewed the adjudication process.

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Trustee Lee asked if campuses were using the information gathered for the compliance components to make the prevention programs better. She also asked about the various levels of engagement on sexual assault cases by administrators.

Trustee Johnston asked if there were mandatory reporting policies. There was a discussion about referrals to law enforcement, the process, and anonymity.

There being no other business, the meeting adjourned at 5:37 p.m.

Kate Wilkinson
Staff Assistant