

UNIVERSITY OF MASSACHUSETTS

AMHERST•BOSTON•DARTMOUTH•LOWELL•WORCESTER

**MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES**

Wednesday, June 19, 2013; 9:00 a.m.

University of Massachusetts Lowell

Inn & Conference Center

Middlesex & Pawtucket Rooms

50 Warren Street

Lowell, Massachusetts

Trustees Present: Chairman Thomas; Vice Chairs Furman and King-Shaw; Trustees Buonomo, Carpman, Collins, Fubini, Geoffroy, Gomez, Johnston, Lee, Malone, Marvel, Mullan, Osterhaus-Houle, Peters, Quattrochi, Woolridge and Xifaras

Phone Participation: Trustee Healey

Trustees Absent: Trustees Campbell and Encarnacion

University Administration: President Caret; General Counsel Heatwole; Chancellors Subbaswamy, Motley, Grossman, Meehan and Collins.

Faculty Representatives: Professor Adrion, UMass Amherst; Professor Tirrell, UMass Boston; Professor White, UMass Dartmouth; Professor Buzawa, UMass Lowell; Professor Weinstein, UMass Worcester

Chairman Thomas convened the meeting at 9:06 a.m. and stated that Trustee Healey will be participating by telephone pursuant to 940 CMR 29.10(5)(e) as she is geographically distant making it unreasonably difficult for her to attend in person.

Chairman Thomas then stated that the Board had been provided with a copy of the minutes from the January 16, 2013, February 27, 2013, February 27, 2013 Executive Session and April 30, 2013 meetings. With no corrections, the minutes were approved.

Reports:

Chair's Report:

Chairman Thomas remarked on the accomplishments of the academic year. He also thanked Chancellor Meehan and the Lowell campus for hosting the Board and commented on the campus' impact on the city and the region. Chairman Thomas then welcomed the two new members of the Board of Trustees, James Buonomo and David Fubini, and thanked the student Trustees for their service. Chairman Thomas remarked on the Conference Committee and the

Board of Trustees
June 19, 2013

potential freeze to tuition and fees, noting that the vote to raise tuition and fees would go into effect only if the requested state funding did not materialize.

Chairman Thomas announced that the Governance Committee will meet over the summer and report to the Board in the fall. The Committee's membership includes: Trustees: King-Shaw, Chair; Johnston, Vice Chair; Furman, Mullan, and Chairman Thomas. Chairman Thomas thanked Vice Chair King-Shaw for his leadership on this Committee.

Student Trustee Report, UMass Lowell:

Trustee Geoffroy reported that he was honored for the opportunity to report on the academic year and that it was one of transformations, milestones, and growth for the Lowell campus. He commended Chancellor Meehan for his leadership, pride for the campus, and steadfast belief in the opportunities it provides. Trustee Geoffroy noted the campus' accomplishments in its ambitious capital projects and fundraising goals and remarked on the growing opportunities for students. Trustee Geoffroy, on behalf of students and parents, thanked President Caret for his tireless pursuit of the 50-50 funding.

Chairman Thomas presented gifts to the out-going Trustees, and thanked them for their service to the University and stated that they represent the best of what the University has to offer.

President's Report:

President Caret thanked Chancellor Meehan and the Lowell campus for hosting the Board. He also welcomed the new Trustees and bid farewell to the out-going student Trustees. President Caret remarked on the passing of former Governor Cellucci, noting his strong bond with the Medical School and his remaining legacy to support ALS research.

President Caret welcomed the 15,000 new UMass graduates into the world, remarking that over 80 percent of those graduates stay in Massachusetts. He also commented on the various reactions from the campus communities around the Patriot's Day bombing, from the awarding of a posthumous degree and a Boston scholarship in Crystal Campbell's name and Ed Davis' heartfelt words at UMass Lowell's graduation to Amherst's marathon "finish" and Dartmouth's Torch Relay.

President Caret shared highlights of impressive activities on the Lowell campus, including the Riverhawks Frozen Four appearance; the Roverhawks; the campus' move to Division I athletics and its national ranking on payscale.com. President Caret also described recent achievements across the system, focusing on the annual award of Science, Technology and Research and Creative Economy research grants as well as UMass Amherst's \$100.5M in life sciences funding for Western Massachusetts; UMass Boston's five Fulbright scholars; UMass Dartmouth's new provost, Mohammad Karim and the new Dean at the Charlton School; and the national recognition of the Medical School's Katherine Luzuriaga's pioneering work to stop the transmission of AIDS.

Board of Trustees
June 19, 2013

President Caret reported on the Conference Committee deliberations on the 50-50 funding and the potential historic moment for the state. He also reported that he was fighting to keep interest rates from rising on student loans. President Caret commented on the importance of the University to the Commonwealth with regard to workforce and R&D.

Chairman Thomas then announced that this was Professor Adrion's last Board meeting and thanked him for his service as faculty representative to the Board.

Reports of Standing Committees:

Committee on Academic and Student Affairs:

Trustee Lee reported that at its June 5th meeting, the Committee on Academic and Student Affairs considered six action items, and an additional three action items in Executive Session that were placed on the Consent Agenda. Trustee Lee described the action and provided a brief report on the four information items from the meeting.

Committee on Administration and Finance:

Trustee Woolridge reported that the Committee on Administration and Finance met on June 12th and after short reports by Trustee Woolridge, President Caret, and Senior Vice President Wilda, there was an update by the Building Authority and an update on the E&E Task Force. He also reported that the Committee considered seven action items, of which items #1 and #4 through 7 were placed on the Consent Agenda. Trustee Woolridge reviewed each action item and then asked that the Chair entertain a motion to approve the **FY14 Operating Budget**.

Chairman Thomas asked for questions and discussion. It was moved and seconded.

Trustee Malone stated that he whole-heartedly supported the 50-50 funding, as did the Governor, but he would be abstaining from the vote. Chairman Thomas stated that he appreciated Trustee Malone's and the Governor's support. Trustee Carpman asked if there was an additional vote. Chairman Thomas stated that the following vote was specifically for fees. Trustee Mullan asked for clarification in that the operating budget was built on the assumption that the 50-50 funding is not passed. Chairman Thomas concurred and stated that if the 50-50 funding passed, there would be a tuition and fee freeze. Trustee Woolridge added that it was with the exception of the fees the students had voted upon.

The Secretary then called the roll with each Trustee asked to vote yes or no. Chairman Thomas voted for the motion as did Vice Chairs Furman and King-Shaw; and Trustees Buonomo, Carpman, Collins, Fubini, Gomez, Healey, Johnston, Lee, Mullan, Osterhaus-Houle, Peters, Quattrochi, Woolridge and Xifaras. Trustee Malone abstained.

Board of Trustees
June 19, 2013

VOTED: To approve the FY2014 University Operating Budget and to authorize the President to approve changes, as needed, once the General Appropriations Act for FY2014 is signed into law. (Doc. T13-034)

Chairman Thomas asked for a motion on the **Approval of the Mandatory Student Charges and the Authorization to Approve Other Charges for the Academic Year 2013-2014.** It was moved and seconded.

Vice Chair King-Shaw moved to amend the vote to delete the phrase “no later than 6/30/13” in paragraphs, 4, 5, and 6. The motion was seconded.

Trustee Lee asked how the amendment changes the vote. Vice Chair King-Shaw stated that there was no reason for a date. Trustee Collins added that he appreciated the spirit of the amendment and asked President Caret if the date was necessary for certainty for students. Chairman Thomas suggested that instead of a date, an event could be referenced. Senior Vice President Wilda added that if the phrase was struck, the contingency rests on the state budget. Trustee Lee asked if there was a legal timeline. Senior Vice President Wilda stated that there was not.

The Secretary called the roll with each Trustee asked to vote yes or no. Chairman Thomas voted for the motion as did Vice Chairs Furman and King-Shaw; and Trustees Buonomo, Carpman, Collins, Fubini, Gomez, Healey, Johnston, Lee, Mullan, Osterhaus-Houle, Peters, Quattrochi, Woolridge and Xifaras. Trustee Malone abstained.

Trustee Mullan then referred to the chart under #2 and asked for confirmation that it was the current fee structure. Senior Vice President Wilda stated that it was the same fee structure for undergraduate, resident students with the exception of Amherst and Lowell, where the agreed upon additional fees were included.

Trustee Carpman commended the Governor and the House for helping to get to this point. He also wanted the Board to remember the students at the State House, the leadership of the President and the considerable advocacy that took place. Chairman Thomas also thanked Trustees for being supportive of making the case for UMass. Trustee Marvel thanked the Governor, the President, and the House for standing for continued accessibility.

Trustee Mullan then added that before the vote, he wanted to disclose that he was a parent of a student at the University.

Chairman Thomas then wanted clarification that the vote only authorized the President to raise fees by 4.9 percent if state funding did not come through. There was discussion on if the University was partially-funded, if there would be a sliding scale. Trustee Woolridge noted that the language states “shall not exceed” which allowed for flexibility.

**Board of Trustees
June 19, 2013**

Chairman Thomas then asked for a motion on the Approval of the Mandatory Student Charges and the Authorization to Approve Other Charges for the Academic Year 2013-2014, Doc. T13-049, as amended. It was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no. Chairman Thomas voted for the motion as did Vice Chairs Furman and King-Shaw; and Trustees Buonomo, Carpman, Collins, Fubini, Gomez, Healey, Johnston, Lee, Mullan, Osterhaus-Houle, Peters, Quattrochi, Woolridge and Xifaras. Trustee Malone abstained.

VOTED: To approve the following:

The Commonwealth of Massachusetts and University of Massachusetts strive to achieve a more equitable solution for Massachusetts students and their families to ensure a high quality and affordable education. The equitable solution would be for the Commonwealth to provide 50% of the cost of educating a student at the University of Massachusetts and the other 50% of the costs charged to the student. Under this 50/50 funding model the University would agree to freeze the mandatory fees for all undergraduate resident students for the next two fiscal years provided the Commonwealth appropriates at least \$478 million in fiscal year 2014 and approximately \$517 million in fiscal year 2015.

1. In accordance with Section 1A of Chapter 75 of the General Laws, and Policy T92-031, Appendix E, to authorize the President to approve, no later than June 30, 2013, or upon the General Appropriations Act for FY2014 becoming law, the individual rates for mandatory student charges, which includes tuition, the mandatory curriculum fee and all other mandatory student fees, provided that the total mandatory student charges shall not exceed the total rates as outlined below for the Amherst, Boston, Dartmouth, and Lowell campuses for academic year 2013-2014:

Table One:				
<u>Total Mandatory Student Charges</u>	<u>Amherst</u>	<u>Boston</u>	<u>Dartmouth</u>	<u>Lowell</u>
Undergraduate (resident)	\$13,901	\$12,552	\$12,253	\$12,687
Undergraduate (non-resident)	\$27,974	\$27,430	\$24,156	\$26,146
Graduate (resident)	\$13,642	\$14,168	\$13,624	\$12,039 *
Graduate (non-resident)	\$27,715	\$27,445	\$24,156	\$21,874 *
Graduate Law School (resident)			\$23,702	
Graduate Law School (non-resident)			\$31,394	
<i>*Per 9 credit load</i>				
<i>All other Mandatory Fees increase per Student Referendum</i>				

**Board of Trustees
June 19, 2013**

2. And further, if upon the University of Massachusetts receiving the full allotment of their base appropriation, line item 7100-0200, in an amount equal to or greater than \$478 million for fiscal year 2014, the board of trustees and the President agree to freeze tuition and the mandatory curriculum fees for all undergraduate resident students at current rates. The total mandatory student charges shall not exceed the total rates as outlined below for the Amherst, Boston, Dartmouth and Lowell campuses for academic year 2013-2014:

Table Two:

<u>Total Mandatory Student Charges</u>	<u>Amherst</u>	<u>Boston</u>	<u>Dartmouth</u>	<u>Lowell</u>
Undergraduate (resident)	\$13,258	\$11,966	\$11,681	\$12,097
Undergraduate (non-resident)	\$27,974	\$27,430	\$24,156	\$26,146
Graduate (resident)	\$13,642	\$14,168	\$13,624	\$12,039 *
Graduate (non-resident)	\$27,715	\$27,445	\$24,156	\$21,874 *
Graduate Law School (resident)			\$23,702	
Graduate Law School (non-resident)			\$31,394	

**Per 9 credit load*
All other Mandatory Fees increase per Student Referendum

3. And further, in accordance with Section 1A of Chapter 75 of the General Laws, to establish and approve the following tuition and maximum mandatory fee rates for the Worcester campus for academic year 2013-2014:

<u>Medical School</u>	<u>Tuition</u>	<u>Mandatory Fees</u>	<u>Total Student</u>
Medical School (resident)	\$8,352	\$14,535	\$22,887
Ph.D/MD. (resident)	\$2,640	\$22,310	\$24,950
Ph.D/MD. Years 1-2 (non-resident)	\$9,856	\$37,310	\$47,166
Ph.D/MD. Years 3+ (non-resident)	\$9,856	\$27,454	\$37,310
Graduate School of Nursing (resident)	\$2,640	\$8,307	\$10,947
Graduate School of Nursing (non-resident)	\$9,856	\$8,307	\$18,163
Grad. School of Biomedical Science (resident)	\$2,640	\$4,279	\$6,919
Grad. School of Biomedical Science(non-resident)	\$9,856	\$4,279	\$14,135

4. And further, in accordance with Section 1A of Chapter 75 of the General Laws and T92-031 Appendix E, to authorize the President to approve final student housing rent and food service charges providing that the standard total charge to undergraduate Massachusetts resident students shall not exceed the standard rates as outlined below for academic year 2013-2014:

<u>Room and Board (standard rate)</u>	<u>Amherst</u>	<u>Boston</u>	<u>Dartmouth</u>	<u>Lowell</u>
	\$10,439	N/A	\$10,908	\$10,793

Board of Trustees
June 19, 2013

5. And further, to authorize the President to approve the full schedule of rent and food service charges for all other classifications of students, room options and meal plans for academic year 2013-2014.
6. And further, to authorize the President to approve individual fee rates for other student fees and student fee/revenue based operations charges not already approved by the Board for academic year 2013-2014.
7. And further, in accordance with T92-031 Appendix E the Board of Trustees authorizes the establishment of the opt-out Green Fee in the form of an opt-out check off activity at the Dartmouth campus to support sustainability efforts. (Doc. T13-049, as amended)

Audit Committee:

Vice Chair Furman reported that the Audit Committee met on June 12th and accepted the minutes of the prior Committee meeting, heard a report from Director of Internal Audit David, and from Grant Thornton. The Committee also voted to approve the 2014 Internal Audit Plan and the report from the UMass Amherst NCAA Football Attendance Audit. Vice Chair Furman then asked Chairman Thomas to entertain a motion to approve the **FY14 Internal Audit Plan** and the **Football Attendance Audit Report, UMass Amherst**. Chairman Thomas asked for a motion and it was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no. Chairman Thomas voted for the motion as did Vice Chairs Furman and King-Shaw; and Trustees Buonomo, Carpman, Collins, Fubini, Gomez, Healey, Johnston, Lee, Malone, Mullan, Osterhaus-Houle, Peters, Quattrochi, Woolridge and Xifaras.

VOTED: To approve the following Audit Reports:

1. FY 2014 Internal Audit Plan (Doc. T13-036)
2. Football Attendance Audit Report, UMass Amherst (Doc. T13-055)

Committee on Advancement:

Trustee Peters reported that the Committee on Advancement met on June 5th and that as of the third quarter, the campuses had reached 80 percent of the fundraising goal. He commended the Trustees for 100 percent participation. He also reported that President Caret provided updates on the March Florida trip and alumni events, the kick-off of the Amherst Capital Campaign, and a comprehensive campaign for the UMass system. Vice President Pagnam provided an update on the CRM project, and the market value, growth and distribution of the endowment. There was also a discussion on major gift giving.

**Board of Trustees
June 19, 2013**

Committee on Science, Technology and Research:

Trustee Johnston reported that the Committee on Science, Technology and Research met on June 5th and following a short report by President Caret, Chancellor Subbaswamy and Vice Chancellor Malone provided an overview of the Amherst campus' strategy for securing the \$95 million grant from the Life Sciences Center. Executive Vice Chancellor Mark Klempner and Dr. Katherine Luzuriaga, Director of the Medical School's Center for Clinical and Translational Science, described the unique work of MassBiologics.

Chairman Thomas then congratulated Trustee Johnston on his appointment as Chair of the UMass Building Authority.

Governance Committee:

Vice Chair King-Shaw reported that the Governance Committee met on May 21st and considered and approved the minutes of the February 26, 2013 meeting. The Committee discussed proposed changes to the Board's By-Laws regarding the Compensation Committee and potential changes to the University's Governance Policy, the Policy on Evaluation of Senior Administrators, and the Executive Compensation Policy. The Committee also discussed the role of the Compensation Committee as well as the search process for the selection of the president and chancellors and compliance with the Open Meeting Law.

Compensation Committee:

Trustee Mullan reported that the Compensation Committee met on April 30, 2013 and considered and approved the minutes of the January 11, 2012 and April 30, 2012 meetings and the Executive Session minutes of the April 30, 2012 meeting. The Committee heard from Mark Preble, the University Director for Human Resources & Labor Relations, who reviewed the University's approach to executive compensation, including the identification of peer institutions and consultant market reviews. The Committee then entered into Executive Session for the purpose of conducting a strategy session in preparation for negotiations with non-unit personnel.

Consent Agenda:

Chairman Thomas reported that at its meeting on June 5, 2013, the Committee on Academic and Student Affairs voted to place Items 1 through 9 on the Consent Agenda. He also reported that at its meeting on February 13, 2013, the Committee on Administration and Finance voted to place Items 10-14 on the Consent Agenda.

Chairman Thomas asked for a motion to approve Items 1-14 by a single vote on the Consent Agenda. It was moved and seconded.

The Secretary called the roll with each Trustee asked to vote yes or no. Chairman Thomas voted for the motion as did Vice Chairs Furman and King-Shaw; and Trustees Buonomo, Collins, Fubini, Gomez, Healey, Johnston, Lee, Mullan, Osterhaus-Houle, Peters, Quattrochi, Woolridge and Xifaras. Trustee Malone abstained from Item #10.

**Board of Trustees
June 19, 2013**

VOTED: To approve the following votes listed as 1-14:

The Committee on Academic and Student Affairs approved items 1-9 on June 5, 2013

1. M.A. in Global Inclusion and Social Development AND Ph.D. in Global Inclusion and Social Development, UMass Boston (Doc. T13-038)

To approve the M.A. in Global Inclusion and Social Development AND the Ph.D. in Global Inclusion and Social Development at the University of Massachusetts Boston as contained in Doc. T13-038.

2. Honors College, UMass Boston (Doc. T13-039)

To approve the creation of the Honors College at the University of Massachusetts Boston.

3. Request for Certain Actions Related to the Nomenclature of Schools and Colleges, UMass Amherst (Doc. T13-040)

To approve the request for certain actions related to the nomenclature of Schools and Colleges at the University of Massachusetts Amherst as contained in Doc. T13-040.

4. Appointment to Named Professorship, UMass Amherst (Doc. T13-047)

To concur with the President in the appointment of Timothy Anderson as Distinguished Professor at the University of Massachusetts Amherst.

5. Appointment of the First Holder of the Myles J. McDonough Chair in Rheumatology, AND Appointment of the First Holder of the Celia D. Rumsey Chair in Diabetes Research, UMass Worcester (Doc. T13-056)

To concur with the President in the appointment of Professor Ellen M. Gravallesse as the first holder of the Myles J. McDonough Chair in Rheumatology at the University of Massachusetts Worcester.

And further, To concur with the President in the appointment of Professor Silvia Corvera as the first holder of the Celia D. Rumsey Chair in Diabetes Research at the University of Massachusetts Worcester.

6. Revisions to the Code of Student Conduct, UMass Amherst, Lowell (Doc. T94-059, as amended)

To approve the revisions to the Code of Student Conduct at the University of Massachusetts Amherst as contained in Doc. T94-059, as amended.

**Board of Trustees
June 19, 2013**

And further, To approve the revisions to the Code of Student Conduct at the University of Massachusetts Lowell as contained in Doc. T94-059, as amended.

7. Appointments with Tenure, UMass Amherst, Boston, Lowell (Doc. T13-043)

To concur with the President in the appointment of Timothy Anderson as professor with tenure in the Department of Chemical Engineering at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Janet S. Fink as associate professor with tenure in the Department of Sport Management at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Linda Lewandowski as professor with tenure in the School of Nursing at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Michael Ramsey-Musolf as professor with tenure in the Department of Physics at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Roberto Veneziani as associate professor with tenure in the Department of Economics at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Annette Wysocki as professor with tenure in the School of Nursing at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Walter Buchwald as associate professor with tenure in the Department of Physics at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of Jill Macoska as professor with tenure in the Department of Biology at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of Katherine L. Tucker as professor with tenure in the Department of Clinical Laboratory and Nutritional Sciences at the University of Massachusetts Lowell.

8. Awards of Tenure, UMass Amherst, Boston, Dartmouth, Lowell, Worcester (Doc. T13-044)

To concur with the President in the awards of tenure to the following individuals in the following Departments:

AMHERST:

- Sofiya Alhassan**, Kinesiology
- Joselyn Almeida-Beveridge**, English
- Brent L. Auerbach**, Music & Dance
- Flavio Azevedo**, Teacher Education and Curriculum Studies
- Enobong H. Branch**, Sociology
- Casey Brown**, Civil and Environmental Engineering
- Seth Cable**, Linguistics
- Qian-Yong Chen**, Mathematics and Statistics
- Jeungok Choi**, School of Nursing
- Benjamin Davidovitch**, Physics
- Arindrajit Dube**, Economics
- Song Gao**, Civil and Environmental Engineering
- Gregory M. Grason**, Polymer Science and Engineering
- Patricia Gubitosi**, Languages, Literatures, and Cultures
- Aline Gubrium**, Public Health
- Jose Hernandez**, History
- Alejandro P. Heuck**, Biochemistry and Molecular Biology
- Regina Kaufmann**, Theater
- Irene Barbara Krauthamer**, History
- Jacque Kurland**, Communication Disorders
- Fidan Ana Kurtulus**, Economics
- Jenna Marquard**, Mechanical and Industrial Engineering
- Christopher Meacham**, Philosophy
- Elizabeth G. Miller**, Marketing
- Thomas P. Moliterno**, Management
- Bjorn Nordtveit**, Educational Policy, Research and Administration
- Chul Park**, Civil and Environmental Engineering
- Ventura R. Perez**, Anthropology
- Jennifer Randall**, Educational Policy, Research and Administration
- Henry Renski**, Landscape Architecture and Regional Planning
- Jesse Rhodes**, Political Science
- Christian Rojas**, Resource Economics
- Jennifer L. Ross**, Physics
- Christian Santangelo**, Physics

**Board of Trustees
June 19, 2013**

Shawn Shimpach, Communication
Jonathan Skolnik, Languages, Literatures, and Cultures
Rebecca Spencer Chambers, Psychology
Anna Lisa Taylor, History
Amanda Walker Johnson, Anthropology
Lisa Wexler, Public Health
Hang Xiao, Food Science
Hong-Kun Zhang, Mathematics and Statistics

BOSTON: **Ramakrishna Ayyagari**, Management Science and Information Systems
Scott Crouter, Exercise and Health Sciences
Ehsan Elahi, Management Information Systems
Eduardo A. Gonzalez, Mathematics
Timothy Hacsí, History
Tricia Kress, Leadership in Education
One-Ki Lee, Management Science and Information Systems
Catherine Mazza, Art
Marianna Torok, Chemistry
Duc A. Tran, Computer Science

DARTMOUTH: **Marilyn Asselin**, Adult & Child Nursing
Michelle Bowers, Design
Erin Bromage, Biology
Geoffrey Cowles, Fisheries Oceanography
Maria Gloria de Sa, Sociology, Anthropology, and Crime and Justice Studies
Hillary Farber, School of Law
Tracie Ferreira, Bioengineering
Pingguo He, Fisheries Oceanography
Susan Hunter Revell, Adult and Child Nursing
Christopher Larkosh, Portuguese
Devon Lynch, Economics
Chad McGuire, Public Policy
Stephanie O'Hara, Foreign Literature and Languages
Chandra Orrill, Science, Technology, Engineering, and Mathematics (STEM) Education
Andrew Revell, Psychology

LOWELL: **Elizabeth Bifuh-Ambe**, Curriculum and Instruction
Bridgette Budhlall, Plastics Engineering
Joseph Fisher, Library

**Board of Trustees
June 19, 2013**

James J. F. Forest, School of Criminology and Justice Studies
Christopher J. Harris, School of Criminology and Justice Studies
Michelle C. Haynes, Psychology
John Kaag, Philosophy
Jill H. Lohmeier, Curriculum and Instruction
Maria Matz, Cultural Studies
S. Alex Ruthmann, Music
Carole L. Salmon, Cultural Studies
Stefanie Tate, Accounting
Patrick Young, History

WORCESTER: **Sharon Cantor**, Cancer Biology
Paul Gardner, Psychiatry
Haley Melikian, Psychiatry
Evgeny Rogae, Psychiatry
Jie Song, Orthopedics and Physical Rehabilitation

9. Transfers of Tenure, UMass Amherst, Dartmouth (Doc. T13-045)

President in the following transfers of tenure at the University of Massachusetts Amherst:

Ben Branch Department of Finance & Operations Management
Nikunj Kapadia TO
Hossein Kazemi Department of Finance
Nelson Lacey
Bing Liang
Sanjay Nawalkha
Thomas Schneeweis
Mila Sherman

Iqbal Agha Department of Finance & Operations Management
Traci Hess TO
Anna Nagurney Department of Operations & Information Management
Robert Nakosteen
Alan Robinson
John Wells

And further, To concur with the President in the following transfer of tenure at the University of Massachusetts Dartmouth:

Catherine Gardner Department of Philosophy

TO
Department of Women's and Gender Studies

The Committee on Administration and Finance approved items 10-14 on June 12, 2013

10. Authorization to Allocate the Fiscal Year 2014 State Appropriation (Doc. T13-048)

To direct the President to allocate the amount appropriated for the University of Massachusetts in Line Item 7100-0200 of the General Appropriation Act for Fiscal Year 2014 to the campuses and the Central Administration of the University.

11. Amendments to Capital Projects (Doc. T13-058)

Pursuant to Trustee Policy T93-122, to approve the revised estimated total project cost of the following capital project at the Amherst Campus:

<u>Project Name</u>	<u>Total Project Cost Estimate June 2013</u>
Hill Replacement/ Intergraded Design Building- Amherst Campus	\$55,000,000

And further,

Pursuant to Trustee Policy T93-122, to approve the revised estimated total project cost of the following capital project at the Lowell Campus:

<u>Project Name</u>	<u>Total Project Cost Estimate June 2013</u>
Leitch & Bourgeois Residential Hall Renovations Lowell Campus	\$38,000,000

12. Amendment to the Edward M. Kennedy Institute for Study of the United States Senate Project (Doc. T13-059)

WHEREAS the University of Massachusetts (the "University") and the John Fitzgerald Kennedy Library Foundation agreed in a Memorandum of Understanding dated December 19, 2003 that was authorized by the Board of Trustees on August 28, 2003 (Doc. T03-058) to lease with an option to purchase certain real estate utilized by the University of Massachusetts Boston campus to the Edward M. Kennedy Institute for Study of the United States Senate (the "Institute");

**Board of Trustees
June 19, 2013**

WHEREAS the purposes of the Institute are to:

1. to educate students, the general public, and public servants about the role and importance of the United States Senate;
2. to engage the target groups in public debate by bringing them inside the legislative process through innovative and participatory educational programs;
3. to celebrate the legislative career of Senator Edward M. Kennedy and use his collected papers to focus attention on how the United States Senate shapes public policy and the nation;
4. to increase awareness of the role of the United States Senate by providing broad public access to the available papers of all Senators through an extensive digital library, available on-site and on-line; and
5. to establish a close working relationship with the University to take advantage of their proximity, to establish a world-class facility by leveraging the strengths of both institutions, and to enhance the academic and research environment available to students of the University; and

WHEREAS the University and the Institute will construct and operate a civic, academic, research, and archival institution that will have a primary focus on the study of the United States Senate (the “Project”);

Be it RESOLVED the Board of Trustees of the University hereby approve the activities of the Institute as furthering the purposes of the University, and

Be it further RESOLVED that the Board of Trustees hereby approves the Project and authorizes the President of the University and such other officers of the University as he may designate (the President and each such other officer referred to herein as an “Authorized Officer”), each acting singly, in the name and on behalf of the trustees, the University or the Commonwealth, as appropriate, (a) to effectuate the financing of one or more or all of such projects by such means as such Authorized Officer shall deem advisable, including without limitation by requesting in writing the University of Massachusetts Building Authority, the Massachusetts Development Finance Agency, or any other statutorily qualified issuer in the Commonwealth to issue debt therefor, or to request the Worcester City Campus Corporation to effectuate the financing thereof through any such issuer of debt, so long as the estimated total project cost does not exceed the estimated total project cost of seventy-eight million dollars by more than 10% (exclusive of capitalized interest, debt service reserve funds, cost of issuance of the obligations, costs of bond insurance or other credit enhancement and other cost of the financing), and, (b) in connection with any such financing, to take such

**Board of Trustees
June 19, 2013**

actions (including without limitation the execution of the guaranty of the Commonwealth on any obligation guaranteed by the Commonwealth), secure such governmental approvals, execute such agreements (including without limitation contracts for financial assistance, management and services, contracts for management and services, leases or subleases, or real estate sales and purchase agreements) containing such provisions and provide for such terms and sources of repayment, all as such Authorized Officer shall deem advisable and as may be required or permitted by law.

13. Establishment of Endowed Chairs, UMass Worcester (Doc. T13-060)

In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish an The Myles McDonough Chair in Rheumatology at UMass Worcester.

And further,

In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish an The Celia Rumsey Chair in Diabetes Research at UMass Worcester.

14. Approval of the Extension and Modification of System Revolving Loan Financing Mechanism (Doc. T13-050)

To authorize the President of the University or his designee to continue to facilitate the Revolving Pool Loan Program and to borrow on behalf of the University thereunder from time to time an aggregate amount not to exceed \$40,000,000 outstanding at any time, with the aggregate amount outstanding increasing and decreasing as the President or his designee shall deem appropriate to best effectuate the purposes of the Revolving Pool Loan Program and to be in the best interest of the University, provided that all amounts outstanding under the Revolving Pool Loan Program shall mature no later than November 1, 2030.

And further, to authorize the President or his designee to do any and all other things and perform any and all other actions necessary to effectuate the execution and delivery of any and all additional documents and instruments as may be necessary for the implementation of the actions contemplated by this Resolution, and such execution and delivery shall be conclusive evidence of the authorization and approval thereof by this Board.

Chairman Thomas then asked for a motion on the **Department of Defense, Exclusion from Personnel Clearance Requirements**. This is a vote for new trustees to be included on the Exclusion List. It was moved and seconded.

**Board of Trustees
June 19, 2013**

The Secretary called the roll with each Trustee asked to vote yes or no. Chairman Thomas voted for the motion as did Vice Chairs Furman and King-Shaw; and Trustees Buonomo, Collins, Fubini, Gomez, Healey, Johnston, Lee, Malone, Mullan, Osterhaus-Houle, Peters, Quattrochi, Woolridge and Xifaras.

VOTED: WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chairman of the Board, the President, the Secretary, and the designated Facility Security Officer meet the personnel clearance requirement established for a contractor's facility clearance; and

WHEREAS, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees and other officers, provided that this action is recorded in the minutes;

NOW, THEREFORE, BE IT DECLARED that the Chairman of the Board, the President, the Secretary and the designated Facility Security Officer at the present time do possess, or will be processed for, he required security clearance; and

BE IT RESOLVED that in the future, when any individual enters upon any duties as Chairman of the Board, the President, the Secretary or the designated Facility Security Officer, such individual shall immediately make application for the required security clearance; and

BE IT RESOLVED FURTHER that the following members of the Board of Trustees and other officers shall not have, and can be effectively excluded from access to all classified information in the possession of the corporation and do not occupy positions that would enable them to affect adversely policies or practices in the performance of classified contracts for the Department of Defense or the User Agencies of its Industrial Security Program:

<u>NAME</u>	<u>TITLE</u>
James R. Buonomo	Trustee
Richard P. Campbell	Trustee
Lawrence M. Carpman	Trustee
Edward W. Collins, Jr.	Trustee
Joshua Encarnacion	Trustee
David G. Fubini	Trustee
Maria D. Furman	Trustee
Phillip J. Geoffroy	Trustee
Zoila M. Gomez	Trustee
Jennifer Healy	Trustee
Philip W. Johnston	Trustee

**Board of Trustees
June 19, 2013**

Ruben J. King-Shaw, Jr.	Trustee
Alyce J. Lee	Trustee
Matthew H. Malone	Trustee
Alexis Marvel	Trustee
Jeffrey B. Mullan	Trustee
Kerri Osterhaus-Houle	Trustee
R. Norman Peters	Trustee
Brian Quattrochi	Trustee
Victor Woolridge	Trustee
Margaret D. Xifaras	Trustee (Doc. T13-064)

There being no other business, the meeting adjourned at 11:02 a.m.

Kate Wilkinson
Staff Assistant