

**UNIVERSITY OF MASSACHUSETTS
AMHERST•BOSTON•DARTMOUTH•LOWELL•WORCESTER•UMASSONLINE**

**MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES**

**Wednesday, December 11, 2013; 9:00 a.m.
University of Massachusetts Dartmouth
Woodland Commons
285 Old Westport Road
North Dartmouth, Massachusetts**

Trustees Present: Chairman Thomas; Vice Chairs Furman and King-Shaw; Trustees Buonomo, Campbell, Carpmann, Collins, Fubini, Geoffroy, Gomez, Johnston, Lowe, Malone, Murphy, O'Brien, Osterhaus-Houle, Peters, Woolridge and Xifaras

Trustees Absent: Trustees Kingston, Lee and Mullan

University Administration: President Caret; General Counsel Heatwole; Chancellors Subbaswamy, Motley, Grossman, Meehan and Collins

Faculty Representatives: Professor Kurose, UMass Amherst; Professor Tirrell, UMass Boston

Chairman Thomas convened the meeting at 9:00 a.m. and stated that the Board had been provided with a copy of the minutes from the September 18, 2013 and October 23, 2013 meetings. With no corrections, the minutes were approved.

Reports:

Chair's Report

Chairman Thomas commented on the passing of President Nelson Mandela and noted the University's decades-long relationship to both Mr. Mandela and the country of South Africa. President Caret also remarked upon the legacy of Mr. Mandela and the system-wide honorary diploma he was presented with in 2006.

President Caret acknowledged Senior Vice President Williams' immense contribution to the University's relationship with Mr. Mandela and the country of South Africa.

Vice Chair King-Shaw shared his experience meeting Mr. Mandela and his wife, Graca Machel, adding that each year he sponsors a group of students to participate in service-learning in South Africa.

Senior Vice President Williams remarked on Mr. Mandela's belief in the power of education to transform lives. Chancellor Subbaswamy read part of the statement that he issued to the Amherst campus on the passing of Mr. Mandela. He also referred to several hand-written letters

Board of Trustees
December 11, 2013

from the imprisoned Mandela to the then Chancellor at UMass Amherst urging his daughter and son-in-law's acceptance to the University.

Vice Chair King-Shaw asked for a motion to offer a **Resolution Expressing Condolences upon the Passing of President Nelson R. Mandela**. It was moved and seconded.

VOTED: **Be it resolved**, that the University of Massachusetts Board of Trustees officially expresses its sympathies and condolences upon the occasion of the passing of President Nelson R. Mandela. The Board of Trustees expresses its respect and admiration for Mr. Mandela's singular contribution to the quest for freedom and democracy in South Africa and for his many achievements while serving as the nation's first democratically elected president, with particular emphasis on his commitment to a peaceful transition and to forgiveness and reconciliation in the new South Africa. The Board takes pride in the University's long connection with the struggle for freedom in South Africa and its continued involvement with the nation and its people. The Board of Trustees also notes the University's decision to award its first and only system-wide honorary degrees to President Mandela and to his spouse, humanitarian Graca Machel, and the special kinship the University feels with the Mandela family. The Board extends its sympathies to Dr. Machel and to the members of the Mandela family. May this man of peace ever so fittingly rest in peace. (Doc. T13-122)

Chairman Thomas thanked everyone for the heartfelt tributes.

Student Trustee Report, UMass Dartmouth

Trustee Murphy thanked Chairman Thomas, President Caret and the Board for the honor and privilege of presenting. He remarked on his pride on the work done on behalf of the 50-50 funding model and expressed his pride to be a Corsair during this exciting time, from recent building renovations to the campus pushing through tragedy. He also praised his fellow students and the faculty and also cited the campus' challenges, including increasing retention and creating more student space. Trustee Murphy concluded by asking for the support of the system to prioritize these goals.

Secretary Malone's Report

Secretary Malone thanked Chairman Thomas and commented on the beginning of the legislative budget process. He added that he understood Trustee Murphy's characterization of the needs of the Dartmouth campus and agreed that student centers were important for engaging young people on campus. Secretary Malone commented on the Higher Education Finance Commission, of which President Caret is a member. He also commented on the "Go Public" campaign, his recent visits to the campuses, and the announcement of the University's Satellite campus in Springfield.

Chancellor Grossman noted the partnership of the Dartmouth campus with community and state colleges for the "Go Public" campaign and the March 6 event.

Board of Trustees
December 11, 2013

President's Report

President Caret thanked Secretary Malone, noting the critical nature of the PK-20 agenda. He also thanked Chancellor Grossman and the Dartmouth campus for hosting the Board. President Caret then shared updates on several system-wide initiatives, including the beginning of the second year of the 50-50 legislative budget process underway and the Satellite campus announcement. He then shared highlights of impressive activities on the Dartmouth campus and other recent achievements across the system. President Caret provided a brief update on the status of sequestration.

Reports of Standing Committees:

Committee on Academic and Student Affairs

Trustee Osterhaus-Houle reported that at its November 20th meeting, the Committee on Academic and Student Affairs considered four action items, and an additional three action items in Executive Session that were placed on the Consent Agenda. Trustee Osterhaus-Houle also reported on the five information items from the meeting and referred Trustees to BoardVantage for additional information.

Chairman Thomas noted that items on the Consent Agenda can have more discussion if given prior notice to remove the item from the Consent Agenda. Vice Chair King-Shaw asked about the assurances that new programs have sufficient demand and quality. Senior Vice President Williams described the process for creating new programs.

President Caret acknowledged Chancellor Subbaswamy for his leadership on the Springfield project.

Committee on Administration and Finance

Trustee Woolridge reported that the Committee on Administration and Finance met on December 4th and there were short reports by him and President Caret. Trustee Woolridge also reported that Executive Director Craven provided a Building Authority overview and Senior Vice President Wilda reviewed the FY13 University's Financial Report. Trustee Woolridge reported two information items from the meeting and that the Committee considered three action items, which were placed on the Consent Agenda.

Audit Committee

Vice Chair Furman reported that the Audit Committee met on December 4th and accepted the minutes of the prior Committee meeting and heard reports from Director of Internal Audit David and Grant Thornton.

Vice Chair Furman then asked that the Chair entertain a motion to approve the **Internal Audit Charter**, the **University's Annual Financial Statements**, and Grant Thornton's **Report on Federal Financial Assistance Programs in Accordance with OMB Circular A-133 and the Internal Control Letter**. Chairman Thomas asked for questions or discussion. It was moved and seconded.

Board of Trustees
December 11, 2013

VOTED: To approve the revisions to the Internal Audit Charter as contained in Doc. T06-061, as amended.

And further,

VOTED: To approve the University's Annual Financial Statements as contained in Doc. T13-111.

And further,

VOTED: To approve the following Audit Reports:

- Report on Federal Financial Assistance Programs in Accordance with OMB Circular A-133 (Doc. T13-112)
- Internal Control Letter (Doc. T13-113)

Committee on Advancement

Trustee Campbell reported that the Committee on Advancement met on November 20th and fundraising-to-date was on track for an excellent year. He reported that President Caret provided highlights of his third annual 'bus tour' and that Vice President Pagnam remarked on a focus on new commitments and the collaborative work across the system, including the London alumni event. Trustee Campbell also reported that Vice President Pagnam also provided updated endowment numbers and that the Foundation was in the process of hiring a marketing firm for the system-wide campaign. He added that there was a discussion on the gift acceptance policy and leadership annual giving.

Trustee Carpmann commended Trustee Campbell for his dedication to the Krystle Campbell Scholarship

Committee on Science, Technology and Research

Trustee Johnston reported that the Committee on Science, Technology and Research met on November 20th and following a short report by President Caret, Dr. Luzuriaga briefed the Committee on her work preventing the transmission of HIV to infants. He also reported that following Dr. Luzuriaga's presentation, there were presentations by Dr. Shenoy and Dr. Garber on "big data".

Consent Agenda:

Chairman Thomas reported that at its meeting on November 20, 2013, the Committee on Academic and Student Affairs voted to place Items 1 through 6 on the Consent Agenda. He also reported that at its meeting on December 4, 2013, the Committee on Administration and Finance voted to place Items 7-9 on the Consent Agenda.

Chairman Thomas asked for a motion to approve Items 1-9 by a single vote on the Consent Agenda. It was moved, seconded and

Board of Trustees
December 11, 2013

VOTED: To approve the following votes listed as 1-9:

The Committee on Academic and Student Affairs approved items 1-6 on November 20, 2013

1. **B.A. in Community Development, UMass Boston (Doc. T13-098)**
To approve the B.A. in Community Development at the University of Massachusetts Boston as contained in Doc. T13-098.
2. **B.A. in Global Affairs, UMass Boston (Doc. T13-099)**
To approve the B.A. in Global Affairs at the University of Massachusetts Boston as contained in Doc. T13-099.
3. **B.S. in Public Health AND M.P.H. in Public Health, UMass Lowell (Doc. T13-100)**
To approve the B.S. in Public Health AND the M.P.H. in Public Health at the University of Massachusetts Lowell as contained in Doc. T13-100.
4. **Appointment to Named Professorship, UMass Amherst (Doc. T13-101)**
To concur with the President in the appointment of Jane E. Fountain as Distinguished Professor at the University of Massachusetts Amherst.
5. **Award of Tenure, UMass Worcester (Doc. T13-107)**
To concur with the President in the awards of tenure to the following individuals in the following Departments:

WORCESTER **Alexei Bogdanov**, Radiology & Cell and Developmental Biology
6. **Transfers of Tenure, UMass Dartmouth, Lowell (Doc. T13-108)**
To concur with the President in the following transfers of tenure at the University of Massachusetts Dartmouth:

Clyde Barrow	Department of Public Policy TO
Maureen Hall	Department of Educational Leadership Department of Teaching and Learning TO
Stephen Hegedus	Department of STEM Education and Teacher Development Department of STEM Education TO
Chandra Orrill	Department of STEM Education and Teacher Development Department of STEM Education TO Department of STEM Education and Teacher Development

**Board of Trustees
December 11, 2013**

Sheila Macrine Department of Teaching and Learning
TO
Joao Rosa Department of STEM Education and Teacher Development
Department of Teaching and Learning
TO
Department of STEM Education and Teacher Development

And further, To concur with the President in the following transfer of tenure at the University of Massachusetts Lowell:

Vinod Vokkarane Department of Computer and Information Science at the
University of Massachusetts Dartmouth
TO
Department of Electrical and Computer Engineering at
the University of Massachusetts Lowell

The Committee on Administration and Finance voted to approve items 7-9 on December 4, 2013

7. **Revisions to the Quasi Endowment Policy (Doc. T07-018, as amended)**
To approve the revisions to the Quasi Endowment Policy as contained in Doc. T07-018, as amended.
8. **Establishment of the Seedworks Nursing Endowed Professorship for Social Justice, UMass Amherst (Doc. T13-114)**
In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish the “Seedworks Nursing Professorship for Social Justice” at the University of Massachusetts Amherst.
9. **Approval of Capital Projects (Doc. T13-077, as amended)**
Pursuant to Trustee Policy T93-122, to approve the estimated total project cost of the following capital project at the Worcester Campuses and add it to the approved project list:

<u>Project Name</u>	<u>Total Project Cost Estimate December 2013</u>
Campus Landscape Improvements – Worcester Campus	\$1,500,000

And further,

Pursuant to Trustee Policy T93-122, to approve the preliminary campus estimate for the following capital project at the Amherst Campus:

**Board of Trustees
December 11, 2013**

<u>Project Name</u>	<u>Total Project Cost Estimate December 2013</u>
----------------------------	---

Design and replacement of the Hillside Roof, Exterior Envelope, and Accessibility – Amherst Campus.	\$2,500,000
--	-------------

And further,

Pursuant to Trustee Policy T93-122, to approve the revised estimated total project cost of the following capital project at the Amherst Campus:

<u>Project Name</u>	<u>Total Project Cost Estimate December 2013</u>
----------------------------	---

Lincoln Campus Center Concourse, Phase 1 - Amherst Campus	\$850,000
Lincoln Campus Center Concourse, Phase 2 – Amherst Campus	\$19,000,000
Coal Yard Decommission – Amherst Campus	\$400,000
Water tank repairs – Amherst Campus	\$1,159,000

Trustee Collins then inquired about whether the Board could vote to remove a single item from the Consent Agenda. General Counsel Heatwole confirmed that the Board could remove a single item from the Consent Agenda. There was discussion about the rules governing such an action.

Other Business:

Chairman Thomas asked for the **Property Transaction Update, UMass Worcester**. Vice Chancellor Jenal described the properties in question and the desire for the Worcester campus to work with DMH to transfer the property to the Department of Transportation for future use by the campus.

Chairman Thomas asked Chancellor Subbaswamy to describe the **Naming of the Champions Center: The John F. Kennedy Champions Center, UMass Amherst**. Chancellor Subbaswamy remarked on this distinguished alumni and his role in the building of the center. There was discussion about honoring Mr. Kennedy by including his full middle name, so that there was no confusion with President Kennedy. Chairman Thomas asked for questions or discussion. It was moved and seconded.

VOTED: To name the Champions Center at the University of Massachusetts Amherst, the John F. Kennedy Champions Center for UMass Amherst alum John Kennedy and his contributions to the University. (Doc. T13-121)

Chairman Thomas then asked that the Board vote to enter into **Executive Session** pursuant to Massachusetts General Law, Chapter 75, section 4, for the purpose of considering **Honorary Degrees** and Chapter 30A, Section 21(7) to comply with General Law Chapter 4, Section 7 for

Board of Trustees
December 11, 2013

the purpose of considering **UMass Amherst Investigation Update**. Chairman Thomas stated that the Board would not reconvene in Open Session.

It was moved, seconded and the Secretary called roll with each Trustee asked to vote yes or no to enter into Executive Session for the purposes listed. Chairman Thomas voted for the motion as did Vice Chairs Furman and King-Shaw; Trustees Buonomo, Campbell, Carpman, Collins, Gomez, Johnston, Ms. Lee (representing Trustee Malone), Murphy, O'Brien, Osterhaus-Houle, Peters, Woolridge and Xifaras.

The time was 10:48 a.m.

Kate Wilkinson
Staff Assistant