

UNIVERSITY OF MASSACHUSETTS

VOTES

BOARD OF TRUSTEES

SEPTEMBER 29, 2010

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – September 15, 2010

ACTION ITEM #a,1: College of Fine Arts, Humanities and Social Sciences and
College of Sciences, UMass Lowell

DATE: BoT - September 29, 2010

DOC. & VOTE I.D. #: T10-044

VOTED: To approve the division of the College of Arts and Sciences into
the College of Fine Arts, Humanities, and Social Sciences and the
College of Sciences at the University of Massachusetts Lowell.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – September 15, 2010

ACTION ITEM #a,2: M.A. in Applied Economics, UMass Boston

DATE: BoT - September 29, 2010

DOC. & VOTE I.D. #: T10-045

VOTED: To approve the M.A. in Applied Economics at the University of Massachusetts Boston as contained in Doc. T10-045.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – September 15, 2010

ACTION ITEM #a,3: Ph.D. in Developmental and Brain Sciences, UMass Boston

DATE: BoT - September 29, 2010

DOC. & VOTE I.D. #: T10-046

VOTED: To approve the Ph.D. in Developmental and Brain Sciences at the University of Massachusetts Boston.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – September 15, 2010

ACTION ITEM #a,4: Appointments to Named Professorships, UMass Amherst

DATE: BoT - September 29, 2010

DOC. & VOTE I.D. #: T10-047

VOTED: To concur with the President in the appointment of Professor Peter Monson as a Distinguished Professor, Department of Chemical Engineering at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Professor Anne Herrington as a Distinguished Professor, Department of English at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Professor James E. Young as a Distinguished Professor, Department of English at the University of Massachusetts Amherst.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – September 15, 2010

ACTION ITEM #a.5: Appointments of the First Holder of the Eugene M. and Ronnie Isenberg Professorship in Integrative Science, UMass Amherst

DATE: BoT - September 29, 2010

DOC. & VOTE I.D. #: T10-048

VOTED: To concur with the President in the appointment of Lawrence Schwartz as the first holder of the Eugene M. and Ronnie Isenberg Professorship in Integrative Science at the University of Massachusetts Amherst.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – September 22, 2010

ACTION ITEM #b,1: FY2011-2015 Capital Plan

DATE: BoT - September 29, 2010

DOC. & VOTE I.D. #: T10-055

VOTED: Pursuant to Trustee policy T93-122, to approve the following new capital projects:

Cost Estimate
Aug-10

Amherst Campus

ISOM renovations & addition	\$40,000,000
Recreation Center Phase II	\$32,000,000
Stockbridge Hall complete fire suppression	\$12,500,000
Football support facility	\$12,000,000
Rudd Field Support Building	\$10,000,000
ISOM deferred maintenance	\$6,500,000
Franklin Dining Commons structural and MEP	\$5,000,000
Conte Polymer windows	\$5,000,000
Hills relocations	\$4,000,000
E-Lab II renovations	\$4,000,000
French, Fernald and Clark renovations	\$3,000,000
Morrill I Auditorium	\$2,000,000
Team Learning Classrooms	\$1,500,000
Research Affairs heat pumps, MEP	\$1,400,000
Softball Facility Lighting	\$1,100,000
Wilder deferred maintenance	\$1,000,000
<i>subtotal Amherst</i>	\$141,000,000

Boston Campus

Master Plan Phase I: Relocate Campus Utility Systems from Substructure (Phase II)	\$26,250,000
Master Plan Phase I: Construct new Trigeneration Facility to accommodate increased campus needs	\$25,000,000
Master Plan Phase I: Construct new pool facility	\$10,000,000

Master Plan Phase I: Construct new campus Greenhouse as required with demolition of the Science Center	\$5,000,000
Construct New Structure for Primary Electrical Switchgear (1)	\$3,000,000
Master Plan Phase I: Utility Plant System Expansion and Upgrades to Accommodate initial campus expansion	\$3,000,000
Master Plan Phase I: Relocate University Data Center due to the demolition of the Science Center	\$3,000,000
Master Plan Phase I: Study Substructure and Science Center Demolition and post demolition construction	\$2,500,000
Master Plan Phase I: Purchase or Lease Additional Swing Space prior to completion of GAB1	\$2,500,000
Saltwater Pump House: Mechanical System Upgrades and Savin Hill Cove Dredging	\$2,000,000
Relocation (Partial) of College of Nursing and Health Sciences	\$1,500,000
Quinn Administration Building: Install Fire Suppression System and Upgrade Fire Alarm System	\$1,200,000
Master Plan Phase I: New public art for Campus Green	\$1,000,000
Healey Library: Renovate space to create 3 new classrooms on the 4th Floor	\$1,000,000
<i>subtotal Boston</i>	\$86,950,000
Dartmouth Campus	
New Academic Building	\$55,000,000
<i>subtotal Dartmouth</i>	\$55,000,000
Lowell Campus	
North Campus Science & Engineering Facilities	\$90,000,000
Capital renewal/deferred maintenance	\$86,000,000
Residential Hall Comprehensive Renewal Program (priority 13 last FY)	\$40,000,000
Campus Student Service, Academic & Admin. Center	\$20,000,000
Bookstore & dining replacements (priority 13 last FY)	\$20,000,000
<i>subtotal Lowell</i>	\$256,000,000
Medical School	
School 4th fl Lab Renovations - Phase 1	\$10,000,000
Land Acquisition per Master Plan	\$75,000,000
UMMS Residence Deferred Maintenance	\$550,000
Renovations	\$6,791,000
Demolition of Tower	\$1,000,000
Modular Research Buildings	\$6,000,000
South St. Bldg 2 Demo/ Renovation	\$5,000,000
<i>subtotal Medical School</i>	\$104,341,000
<i>Total all new projects</i>	\$643,291,000

And further, To approve the following revised project cost estimates for previously approved capital projects:

Project Name	Initial TPC	Revised TPC
Amherst Campus		
Stockbridge Hall Fire Suppression and Fire Alarms	\$1,355,000	\$2,120,000
Boston Campus		
Master Plan Phase I: Relocate Campus Utility Systems from Substructure (Phase I)	\$43,000,000	\$62,125,000
Master Plan Phase I: Relocation and Reconfiguration of University Drive	\$7,000,000	\$16,000,000
Dartmouth Campus		
Biomanufacturing Building	\$10,000,000	\$26,000,000
SMAST	\$20,000,000	\$48,000,000
Classroom and Laboratory Upgrades	\$7,400,000	\$11,400,000
Medical School		
New NW Parking Garage	\$28,000,000	\$40,000,000
Renovate and Expand BL3 Suite - 7th Fl	\$3,000,000	\$5,500,000
West Parking Garage Repairs	\$900,000	\$10,000,000
BNRI Upgrades	\$1,000,000	\$1,500,000
Jamaica Plain: HVAC & Power Plant Upgrades	\$3,450,000	\$18,000,000

And further, To approve the FY2011 to FY2015 University Capital Plan as described in Doc. T10-055.

And further, Pursuant to Trustee Policy T09-052, to authorize the Dartmouth campus to exceed 8% in its debt service to operations ratio,

And further, With respect to any or all of the projects identified in the said University Capital Plan as described in Doc. T10-055, to authorize the President of the University and such other officers of the University as he may designate (the President and each such other officer referred to herein as an "Authorized Officer"), each acting singly, in the name and on behalf of the trustees, the University or the Commonwealth, as appropriate, (a) to effectuate the financing of one or more or all of such projects by such means as such Authorized Officer shall deem advisable, including without limitation by requesting in writing the University of Massachusetts Building Authority, the Massachusetts Health and Educational Facilities Authority, the Massachusetts Development Finance Agency or any other statutorily qualified issuer in the Commonwealth to issue debt therefor, or to request the Worcester City Campus Corporation to effectuate the

financing thereof through any such issuer of debt, so long as the estimated total project cost does not exceed the estimated total project cost listed in Doc. T10-055 by more than 20% (exclusive of capitalized interest, debt service reserve funds, cost of issuance of the obligations, costs of bond insurance or other credit enhancement and other cost of the financing), and, (b) in connection with any such financing, to take such actions (including without limitation the execution of the guaranty of the Commonwealth on any obligation guaranteed by the Commonwealth), secure such governmental approvals, execute such agreements (including without limitation contracts for financial assistance, management and services, contracts for management and services or leases or subleases) containing such provisions and provide for such terms and sources of repayment, all as such Authorized Officer shall deem advisable and as may be required or permitted by law, including without limitation St. 1960, c. 773, §_19A, as amended, and St. 1992, c. 138, §_15A, as inserted by St. 2000, c. 159, §_303.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – September 22, 2010

ACTION ITEM #b,2: Resolution Authorizing Requests for Initiation by University of Massachusetts Building Authority of University Projects and Related Matters

DATE: BoT - September 29, 2010

DOC. & VOTE I.D. #: T10-056

VOTED: WHEREAS, the University of Massachusetts Building Authority is a body politic and corporate and a public instrumentality of The Commonwealth of Massachusetts (the “Commonwealth”) created to aid and contribute to the performance of the education and other purposes of the University of Massachusetts (the “University”) by providing dormitories, dining commons and other buildings and structures for the use of the University, its students, staff and their dependents and for lease to or use by an organization or association, in any form, of students or others the activities of which are a part of the activities at the University and subject to regulation by the Board of Trustees of the University (the “Trustees”);

WHEREAS, pursuant to the Building Authority’s enabling act, the Building Authority may issue bonds to finance projects undertaken by the Building Authority at the request of the Trustees, or, with the approval of the Trustees, issue refunding bonds, all of which bonds are secured, in part, by revenues from the Building Authority, the University and the Commonwealth, as authorized by the Trustees on behalf of the University and the Commonwealth;

WHEREAS, in the judgment of the Trustees it is in the best interests of the University, its students, staff and their dependents and said organizations that the Building Authority undertake projects and finance such projects as described below;

WHEREAS, in the judgment of the Trustees it is in the best interest of the University for the Building Authority to refund bonds when market conditions are favorable; and

WHEREAS, the Building Authority's enabling act authorizes the Trustees, on behalf of the Commonwealth, to provide a Commonwealth guaranty of not to exceed \$200,000,000 outstanding principal amount of Building Authority Bonds;

NOW, THEREFORE,

1. Each of the President and the Senior Vice President for Administration, Finance and Technology and Treasurer of the University, acting alone, be and each, acting alone, (each, an "Authorized Officer") hereby is authorized in the name and on behalf of the Trustees to make a written request of the Building Authority that the Building Authority initiate and undertake:
 - (a) Any or all of the projects set forth in the University of Massachusetts FY2011 to 2015 University Capital Plan (Trustee Document T10-055); and
 - (b) Any other construction of or respecting or renovation, repair, remodeling or other work in or upon or respecting any building or structure owned or used by the University or the Building Authority, or the provision, installation, renovation, repair or remodeling of or other work upon or respecting any furnishings, furniture, machinery, equipment or facilities with respect to any such building or structure, all as may be requested of the Building Authority in writing from time to time by an Authorized Officer, the making of any such request being hereby authorized.
2. The projects to be initiated by the Building Authority in accordance with any request authorized to be made in Section 1 of this resolution may in the discretion of the Building Authority be undertaken separately or together for financing purposes.
3. The issuance of refunding bonds by the Building Authority is hereby approved, in such amount and on such terms as shall be approved in writing by an Authorized Officer.
4. Each Authorized Officer hereby is authorized to execute and deliver on behalf of the University and the Commonwealth one or more contracts for financial assistance, management and services with respect to the projects financed or refinanced by the Building Authority and the Building Authority bonds issued in connection therewith, including any refunding bonds. Each such contract may include the Commonwealth guaranty of all or a portion of such bonds, as determined by an Authorized Officer and within the limits prescribed by the

Building Authority's enabling act.

5. Each Authorized Officer is hereby authorized to take such other action as deemed necessary or desirable to be done or taken to effectuate the matters hereby authorized, as conclusively evidenced by their doing the things or taking the action hereby authorized.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – September 22, 2010

ACTION ITEM #b,3: Establishment of Endowed Professorship, UMass Amherst

DATE: BoT - September 29, 2010

DOC. & VOTE I.D. #: T10-057

VOTED: In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish an Endowed Professorship at the University of Massachusetts Amherst to be known as the Eugene M. and Ronnie Isenberg Professorship in Integrative Science.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – September 22, 2010

ACTION ITEM #b,4: Naming of the George N. Parks Marching Band Facility,
UMass Amherst

DATE: BoT - September 29, 2010

DOC. & VOTE I.D. #: T10-063

VOTED: Whereas, for the past 33 years, George N. Parks served with honor and distinction as professor and director of the UMass Minuteman Marching Band, during which time he was honored with the UMass Distinguished Teaching Award, the Chancellor's Medal for service to the campus and an Award for Outstanding Accomplishments in Scholarly and Creative Activity;

Whereas, under Professor Parks' leadership the Minuteman Marching Band, known as the Power and the Class of New England, achieved national prominence winning the Louis C. Sudler Trophy from John Philip Sousa Foundation in 1998 as the national top Marching Band, performing at three presidential inaugurations and three Bands of Americas Grand Nationals events and receiving many other honors;

Whereas, Professor Parks' tireless advocacy on behalf of the marching band resulted in over \$1 million raised for the marching band facility currently under construction at the Amherst campus;

Whereas, Professor Parks sadly and unexpectedly passed away on September 16th, 2010;

The Board of Trustees take the following action:

To name the new band facility as the George N. Parks Minuteman Marching Band Building in recognition of his leadership and innumerable contributions to the University.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: **Audit – September 22, 2010**

ACTION ITEM #c,1-3: **Audit Reports**

DATE: **BoT - September 29, 2010**

DOC. & VOTE I.D. #: **T10-041, T10-042, T10-043**

VOTED: To accept the following Audit Reports:

1. Background Checks Survey – Follow-Up Report
2. Information Technology General Controls Review, UMass Dartmouth – College of Engineering
3. Information Technology General Controls Review, UMass Dartmouth – Campus Book Store

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

OTHER BUS. #1: Department of Defense

DATE: BoT – September 29, 2010

DOC. & VOTE I.D. #: T10-061

VOTED: WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chairman of the Board, the President, the Secretary, and the designated Facility Security Officer meet the personnel clearance requirements established for a contractor's facility clearance; and

WHEREAS, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees and other officers, provided that this action is recorded in the minutes.

NOW, THEREFORE, BE IT DECLARED that the Chairman of the Board, the President, the Secretary and the designated Facility Security Officer at the present time do possess, or will be processed for, the required security clearance; and

BE IT RESOLVED that in the future, when any individual enters upon any duties as Chairman of the Board, the President, the Secretary or the designated Facility Security Officer, such individual shall immediately make application for the required security clearance; and

BE IT RESOLVED FURTHER that the following members of the Board of Trustees and other officers shall not have, and can be effectively excluded from access to all classified information in the possession of the corporation and do not occupy positions that would enable them to affect adversely policies or practices in the performance of classified contracts for the Department of Defense or the User Agencies of its Industrial Security Program:

<u>NAME</u>	<u>TITLE</u>
Lawrence F. Boyle	Trustee
Jennifer C. Braceras	Trustee
Edward W. Collins, Jr.	Trustee
John A. DiBiaggio	Trustee
Christopher M. Dinan	Trustee
Michael G. Fox	Trustee
Maria D. Furman	Trustee
Philip W. Johnston	Trustee
James J. Karam	Trustee
Ruben J. King-Shaw, Jr.	Trustee
Stasha Lampert	Trustee
Richard J. Lawton	Trustee
Kenneth A. MacAfee, II	Trustee
Kerri Osterhaus-Houle	Trustee
R. Norman Peters	Trustee
Michael R. Reid	Trustee
Paul Reville	Trustee
Evelyn Santos	Trustee
Henry M. Thomas, III	Trustee
Stephen P. Tocco	Trustee
Victor Woolridge	Trustee

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

OTHER BUS. #2: Worcester City Campus Corporation

DATE: BoT – September 29, 2010

DOC. & VOTE I.D. #: T10-062

VOTED: The University of Massachusetts Board of Trustees sitting as the sole member of the Worcester City Campus Corporation, appoints as members of the Board of Directors:

Kerri Osterhaus-Houle, M.D.
R. Norman Peters
Thomas J. Chmura
James R. Julian
David J. Gray
David MacKenzie
Thomas Manning
Robert Jenal

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Governance

ACTION ITEM #1: 2010-2011 Slate of Officers

DATE: BoT - September 29, 2010

DOC. & VOTE I.D. #: T10-060

VOTED: To confirm and approve the Officers and Committee Chairs of the Board of Trustees set forth in Doc. T10-060, all to serve until the next annual meeting of the Board.