

**UNIVERSITY OF MASSACHUSETTS**

**VOTES**

**BOARD OF TRUSTEES**

**June 10, 2009**

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – June 3, 2009

**ACTION ITEM #a,1:** Establishment of the Nancy Donahue Endowed Professorship in the Arts, UMASS Lowell

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T09-030

---

**VOTED:** In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws, to establish an endowed professorship to advance the study of the arts at the University of Massachusetts Lowell to be known as the Nancy Donahue Endowed Professorship in the Arts.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – June 3, 2009

**ACTION ITEM #a,2:** Amendments to Land and Facilities Use Planning Policy

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T93-122, as amended

---

**VOTED:** To amend the Land and Facilities Use Planning Policy by adding the following language:

**PRIVATE USE**

Any facilities of the campuses that are purchased, constructed, renovated, rehabilitated, improved or otherwise funded by use of funds from a tax-exempt bond issue are subject to limitations as to use by a private party under federal tax law. Private use means the use of such facilities or portions thereof by any individual or entity that is not a state, a political subdivision thereof or integral part of a state or political subdivision thereof, including the federal government and charitable organizations. “Use” can include, but is not limited to, ownership, leasing, management or sponsorship of research occurring in a bond-financed facility. Private use may jeopardize the tax-exempt status of the bonds. The Vice Chancellors for Administration and Finance will ensure that the campuses establish procedures to prevent exceeding limitations of such private use of the facilities that are set forth in the tax certificate delivered by the University to the issuer in connection with the issuance of the bonds, including annually informing the issuer of any private use activities in subject facilities. If a campus has any doubt as to the existence of a private use, it will consult with the issuer of the tax exempt bonds and with bond counsel to the issuer regarding the impact of any proposed or existing use of the facilities on the tax-exempt status of the bonds.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – June 3, 2009

**ACTION ITEM #a,3:** Amendments to Policy on Naming Facilities

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T93-127, as amended

---

**VOTED:** To amend the Policy on Naming Facilities by adding the following language:

The naming of University facilities may constitute private use and thus might be subject to the Land and Facilities Use Planning Policy regarding private use. If a campus has any doubt as to the existence of a private use, it will consult with the issuer of the tax exempt bonds and with bond counsel to the issuer regarding the impact of any proposed or existing use of the facilities on the tax-exempt status of the bonds.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – June 3, 2009

**ACTION ITEM #a,4:** Amendments to Investment Policy

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T92-031, Appendix F, as amended

---

**VOTED:** To amend the Investment Policy and Guidelines Statement for the Operating Cash Portfolio by making the following amendments:

- Elimination of the Equity and Alternatives Assets classes from the asset allocation.
- Increase Fixed Income allocation from 57% to 62% with a slight reduction in the Intermediate Bond component from 25% to 20% and a slight increase in the Short Duration Bonds from 22% to 32%.
- Increase Cash from 23% to 38%. Cash is defined as short term liquid investments consistent with Institutional Money Market Funds.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – June 3, 2009

**ACTION ITEM #a,5:** Amendments to Quasi Endowment Policy

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T07-018, as amended

---

**VOTED:** To amend the Quasi Endowment Policy by adding the following language:

The President is authorized to suspend required annual unrestricted operational fund contributions to the quasi-endowment for FY10 and FY11. Such suspensions will be approved only under extraordinary financial circumstances. The President will notify the Trustees whenever such a suspension is authorized.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – June 3, 2009

**ACTION ITEM #a,6:** FY2010 University Operating Budget

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T09-031

---

**VOTED:** To approve the FY2010 University Operating Budget as contained in Doc. T09-031 and to approve the statements of trust contained in Appendix A of said Doc. T09-031.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Administration and Finance – June 3, 2009

**ACTION ITEM #a,7:** Authorization to Allocate FY2010 State Appropriation

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T09-032

---

**VOTED:** To direct the President to allocate the amount appropriated for the University in Line Item 7100-0200 of the state appropriation act for fiscal year 2010 to the campuses and the central administration of the University, and to notify the Board of Trustees and the House and Senate Committees on Ways and Means of said allocations.



**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Academic and Student Affairs – May 27, 2009

**ACTION ITEM #b,1:** Appointments to Named Professorships, UMASS Amherst

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T09-022

---

**VOTED:** To concur with the President in the appointment of Dr. Victor Lesser as a Distinguished Professor at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Dr. V. Adrian Parsegian to the Robert L. Gluckstern Professorship of Physics.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Academic and Student Affairs – May 27, 2009

**ACTION ITEM #b,2:** Appointment of the First Holder of the Nancy Donahue Endowed Professorship in the Arts, UMASS Lowell

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T09-026

---

**VOTED:** To concur with the President in the appointment of Professor Kay George Roberts as the first holder of the Nancy Donahue Endowed Professorship in the Arts, contingent on the establishment at the June 3<sup>rd</sup> Committee on Administration and Finance of the Nancy Donahue Endowed Professorship in the Arts.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Academic and Student Affairs – May 27, 2009

**ACTION ITEM #b,3:** Revisions to the Code of Student Conduct, UMASS Amherst

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T94-059A, as amended

---

**VOTED:** To approve the revisions to the Code of Student Conduct at the University of Massachusetts Amherst as contained in Doc.T94-059A, as amended.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Academic and Student Affairs – May 27, 2009

**ACTION ITEM #b,4:** Revisions to the Faculty Grievance Procedure, UMASS Worcester

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T88-038A, as amended

---

**VOTED:** To approve the revisions to the Faculty Grievance Procedure at the University of Massachusetts Worcester as contained in Doc. T88-038A, as amended.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:** Academic and Student Affairs – May 27, 2009

**ACTION ITEM #b,5:** Establishment of the College of Natural Sciences, Closing of the College of Natural Sciences and Mathematics, Closing of the College of Natural Resources and the Environment, UMASS Amherst

**DATE:** BoT – June 10, 2009

**DOC. & VOTE I.D. #:** T09-039

---

**VOTED:** To approve the establishment of the College of Natural Sciences, the closing of the College of Natural Sciences and Mathematics, and the closing of the College of Natural Resources and the Environment at the University of Massachusetts Amherst.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:**      **Audit Committee – June 3, 2009**

**ACTION ITEM #c,1-4:**      **Audit Reports**

**DATE:**      **BoT – June 10, 2009**

**DOC. & VOTE I.D. #:**      **T09-019, Doc. T09-020, Doc. T09-021, Doc. T09-007**

---

**VOTED:**      To accept the following Audit reports:

1. Procurement Operations, UMASS Dartmouth
2. Revenue/Cash Receipting Locations Review, UMASS Boston
3. Information Technology Controls Reviews, UMASS Amherst  
Housing and Residence Life
4. WUMB-FM Financial Statements, Internal Control Report  
Communications Letter

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**COMMITTEE:**      **Audit Committee – June 3, 2009**

**ACTION ITEM #c, 5:**      **Long-Range Audit Plan**

**DATE:**      **BoT – June 10, 2009**

**DOC. & VOTE I.D. #:**      **T06-060, as amended**

---

**VOTED:**      To approve the revisions to the Long-Range Audit Plan as contained in Doc. T06-060, as amended.

**UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES**

**OTHER BUSINESS #a:**     **Department of Defense**

**DATE:**             **BoT – June 10, 2009**

**DOC. & VOTE I.D. #:**     **T09-038**

---

**VOTED:**

WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chairman of the Board, the President, the Secretary, and the designated Facility Security Officer meet the personnel clearance requirements established for a contractor's facility clearance; and

WHEREAS, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees and other officers, provided that this action is recorded in the minutes.

NOW, THEREFORE, BE IT DECLARED that the Chairman of the Board, the President, the Secretary and the designated Facility Security Officer at the present time do possess, or will be processed for, the required security clearance; and

BE IT RESOLVED that in the future, when any individual enters upon any duties as Chairman of the Board, the President, the Secretary or the designated Facility Security Officer, such individual shall immediately make application for the required security clearance; and

BE IT RESOLVED FURTHER that the following members of the Board of Trustees and other officers shall not have, and can be effectively excluded from access to all classified information in the possession of the corporation and do not occupy positions that would enable them to affect adversely policies or practices in the performance of classified contracts for the Department of Defense or the User Agencies of its Industrial Security Program:



<b><u>NAME</u></b>	<b><u>TITLE</u></b>
Lawrence F. Boyle	Trustee
Jennifer C. Braceras	Trustee
Matthew E. Carlin	Trustee
Edward W. Collins, Jr.	Trustee
John A. DiBiaggio	Trustee
Kristin D. Gerson	Trustee
Philip W. Johnston	Trustee
James J. Karam	Trustee
Ruben J. King-Shaw, Jr.	Trustee
David Koffman	Trustee
Aleksandar Kulenovic	Trustee
Richard J. Lawton	Trustee
Kenneth A. MacAfee, II	Trustee
Kerri Osterhaus-Houle	Trustee
Janet D. Pearl	Trustee
Paul Reville	Trustee
Henry M. Thomas, III	Trustee
Stephen P. Tocco	Trustee