

UNIVERSITY OF MASSACHUSETTS

VOTES

BOARD OF TRUSTEES

MARCH 19, 2008

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – February 25, 2008

ACTION ITEM #a,1: Student Senate Constitution Revisions, UMASS Dartmouth

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T95-095, as amended

VOTED: To approve revisions to the Dartmouth Student Senate Constitution as contained in Doc. T95-095, as amended.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – February 25, 2008

ACTION ITEM #a,2: Alcohol and Drug Policy Revisions, UMASS Lowell

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T93-116, as amended

VOTED: To approve revisions to the Lowell Alcohol and Drug Policy as contained in Doc. T93-116, as amended.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – February 25, 2008

ACTION ITEM #a,3: B.S. in Information Technology, UMASS Boston

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T08-011

VOTED: To approve the B.S. in Information Technology at the University of Massachusetts Boston as contained in Doc. T08-011.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – February 25, 2008

ACTION ITEM #a,4: B.S. in Nutritional Sciences, UMASS Lowell

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T08-012

VOTED: To approve the B.S. in Nutritional Sciences at the University of Massachusetts Lowell as contained in Doc. T08-012.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – February 25, 2008

ACTION ITEM #a,5: M.S. in Clinical Research, UMASS Worcester

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T08-013

VOTED: To approve the M.S. in Clinical Research at the University of Massachusetts Worcester as contained in Doc. T08-013.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – February 25, 2008

ACTION ITEM #a,6: Doctorate of Nursing Practice Degree, UMASS Worcester,
UMASS Boston, UMASS Lowell

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T08-016, T08-014, T08-015

VOTED: To approve the Doctorate of Nursing Practice Degree at the
University of Massachusetts Worcester as contained in Doc. T08-
016;

And further, To approve the Doctorate of Nursing Practice Degree at the
University of Massachusetts Boston as contained in Doc. T08-014;

And further, To approve the Doctorate of Nursing Practice Degree at the
University of Massachusetts at Lowell as contained in Doc. T08-
015.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – February 25, 2008

ACTION ITEM #a,7: Establishment of a Nursing Program Task Force

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T08-035

VOTED: To appoint a Task Force chaired by Trustee Janet Pearl to study issues of system-wide nursing programs at the University taking into account state plans, including the state and community colleges, and to report back to the Committee.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Academic and Student Affairs – February 25, 2008

ACTION ITEM #a,8: University of Massachusetts Strategic Plan, UMASS Amherst

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T08-034

VOTED: **WHEREAS** the University of Massachusetts consists of five campuses, including the flagship campus in Amherst, campuses in Boston, Dartmouth, Lowell, and the Medical School in Worcester; and

WHEREAS the Board of Trustees of the University recognizes that a five campus system with a single flagship is stronger than the sum of its individual parts; and

WHEREAS the Board of Trustees recognizes that a strong and nationally-recognized flagship is essential to the success of the system as a whole and to the Commonwealth's system of public higher education; and

WHEREAS the Board of Trustees will soon hire a new Chancellor of UMass Amherst whom the Board hopes will lead the campus for many years,

Be it RESOLVED that the Board of Trustees commits itself to moving UMass Amherst into the top tier of public universities in the country, and

Be it further RESOLVED that the Board of Trustees calls upon UMass Amherst under the direction of the Chancellor and in cooperation with the President to work with its various stakeholders to prepare the outline of a campus strategic plan by July 1, 2008, and

Be it further RESOLVED that the July, 2008 outline will serve as a basis for a strategic plan that the new Chancellor will direct, and

Be it further RESOLVED that UMass Amherst will submit the above-referenced strategic plan in sufficient time for review, discussion and appropriate action by the Board of Trustees and its subcommittees.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – March 5, 2008

ACTION #b, 1: Academic Year 2008-2009 Mandatory Student Tuition and Fee Rates and Student Housing Rent and Food Service Charges

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T08-027

VOTED:

1. In accordance with Section 1A of Chapter 75 of the General Laws, to establish and approve tuition at the following maximum rates for the Amherst, Boston, Dartmouth and Lowell campuses for academic year 2008-2009:

| <u>Tuition</u> | <u>Amherst</u> | <u>Boston</u> | <u>Dartmouth</u> | <u>Lowell</u> |
|------------------------------|-----------------------|----------------------|-------------------------|----------------------|
| Undergraduate (resident) | \$1,714 | \$1,714 | \$1,417 | \$1,454 |
| Undergraduate (non-resident) | \$9,937 | \$9,758 | \$8,099 | \$8,567 |
| Graduate (resident) | \$2,640 | \$2,590 | \$2,071 | \$1,637 * |
| Graduate (non-resident) | \$9,937 | \$9,758 | \$8,099 | \$6,425 * |

*Per 9 credit load

2. And further, in accordance with Section 1A of Chapter 75 of the General Laws, to establish and approve the following maximum mandatory fee rates for the Amherst, Boston, Dartmouth, and Lowell campuses for academic year 2008-2009:

| <u>Total Mandatory Fees (Curriculum & Other Mandatory Charges)</u> | <u>Amherst</u> | <u>Boston</u> | <u>Dartmouth</u> | <u>Lowell</u> |
|---|-----------------------|----------------------|-------------------------|----------------------|
| Undergraduate (resident) | \$8,518 | \$7,397 | \$7,441 | \$7,552 |
| Undergraduate (non-resident) | \$11,792 | \$11,539 | \$10,462 | \$12,459 |
| Graduate (resident) | \$7,766 | \$7,887 | \$7,946 | \$6,862 * |
| Graduate (non-resident) | \$10,471 | \$11,551 | \$10,462 | \$10,805 * |

*Per 9 credit load

And further, in accordance with Policy T92-031, Appendix E, to authorize the President to approve, no later than April 3, 2008, the individual rates for mandatory charges, providing that total mandatory student charges shall not exceed the total rates as outlined below for the Amherst, Boston, Dartmouth, and Lowell campuses for academic year 2008-2009:

Total Mandatory Student Charges

| | <u>Amherst</u> | <u>Boston</u> | <u>Dartmouth</u> | <u>Lowell</u> |
|------------------------------|-----------------------|----------------------|-------------------------|----------------------|
| Undergraduate (resident) | \$10,232 | \$9,111 | \$8,858 | \$9,006 |
| Undergraduate (non-resident) | \$21,729 | \$21,297 | \$18,561 | \$21,026 |
| Graduate (resident) | \$10,406 | \$10,477 | \$10,017 | \$8,499 * |
| Graduate (non-resident) | \$20,408 | \$21,309 | \$18,561 | \$17,230 * |

*Per 9 credit load

4. And further, in accordance with Section 1A of Chapter 75 of the General Laws, to establish and approve the following tuition and maximum mandatory fee rates for the Worcester campus for academic year 2008-2009:

| <u>Medical School</u> | <u>Tuition</u> | <u>Mandatory Fees</u> | <u>Total Student Charges</u> |
|---|-----------------------|------------------------------|-------------------------------------|
| Medical School (resident) | \$8,352 | \$5,886 | \$14,238 |
| Ph.D./MD (resident) | \$2,640 | \$20,541 | \$23,181 |
| Ph.D./MD Years 1-2 (non-resident) | \$9,856 | \$35,541 | \$45,397 |
| Ph.D./MD Years 3+ (non-resident) | \$9,856 | \$25,685 | \$35,541 |
| Graduate School of Nursing (resident) | \$2,640 | \$5,788 | \$8,428 |
| Graduate School of Nursing (non-resident) | \$9,856 | \$5,788 | \$15,644 |
| Grad. School of Biomedical Science (resident) | \$2,640 | \$3,975 | \$6,615 |
| Grad. School of Biomedical Science (non-resident) | \$9,856 | \$3,975 | \$13,831 |

5. And further, in accordance with Section 1A of Chapter 75 of the General Laws and T92-031 (Appendix E), to authorize the President to approve, no later than April 3, 2008, final student housing rent and food service charges providing that the average total charge to undergraduate Massachusetts resident students shall not exceed the average rates as outlined below for academic year 2008- 2009:

| | <u>Amherst</u> | <u>Boston</u> | <u>Dartmouth</u> | <u>Lowell</u> |
|---|-----------------------|----------------------|-------------------------|----------------------|
| <u>Room & Board (average rate)</u> | \$8,114 | N/A | \$9,428 | \$7,519 |

6. And further, to authorize the President to approve, no later than April 3, 2008, the full schedule of rent and food service charges for all other classifications of students, room options and meal plans for academic year 2008-2009.
7. And further, to authorize the President to approve, no later than April 3, 2008, individual fee rates for other student fees and student fee/revenue based operations charges not already approved by the Board for academic year 2008-2009.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – March 5, 2008

ACTION #b, 2: Graduate Tuition Waivers for Veterans

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T08-039

VOTED: To approve graduate tuition waivers for Massachusetts-resident veterans, including those enrolled in the UMassOnline Program.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – March 5, 2008

ACTION ITEM #b, 3: Policy on Codes of Conduct for University Vendor Relationships

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T08-028

VOTED: The University of Massachusetts will adhere to the highest ethical principles in its relationships with vendors and the procurement of any goods and services. These principles will assure that all goods and services are of the highest quality and value for the best competitive price possible. All such goods and services shall be procured in such a way that all qualified vendors have an equal opportunity to provide such goods and services.

The University and its vendors shall comply with all federal and state laws and regulations as well as University policies, procedures and guidelines relative to the confidentiality and privacy of University employees and students. All University employees are required to provide continuing assurances and be fully aware that any conflict of interest related to or appearance of a conflict with a vendor is unacceptable. The President of the University shall issue guidelines to implement this University policy.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – March 5, 2008

ACTION ITEM #b, 4: Tobacco Free Environment/No Smoking Policy, UMASS Worcester

DATE: BoT - March 19, 2008

DOC. & VOTE I.D. #: T08-029

VOTED: To approve the Tobacco Free Environment/No Smoking Policy for the University of Massachusetts Medical School (UMMS) and to prohibit smoking or use of any tobacco products of any kind in or on any UMMS property, whether owned, leased or assigned, inclusive of buildings, garages, grounds or other spaces, temporary or permanent. Any such restrictions shall comply with all local, state and federal laws, including the obligation to collectively bargain the policy with the recognized representatives of employees where applicable, regarding the use of tobacco.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – March 5, 2008

ACTION ITEM #b, 5: Transfer of Land to John F. Kennedy Library

DATE: BoT - March 19, 2008

DOC. & VOTE I.D. #: T08-030

VOTED: To authorize the President of the University, the Executive Vice President, or the Vice President for Management and Fiscal Affairs and Treasurer, each of them acting individually, to take any and all actions and execute any and all documents necessary or convenient to effect the conveyance of the parcel of land described in Section 1 of Chapter 210 of the Acts of 2006 and currently under the control of the University of Massachusetts to the United States of America, acting by and through the National Archives and Records Administration, pursuant to the provisions of said Chapter 210; and further, to deposit the proceeds from said sale in a trust fund as described in Section 3 of said Chapter 210.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – March 5, 2008

ACTION ITEM #b, 6: Authorization to Engage an Appropriate University of Massachusetts Affiliate for the Purposes of Directing Investment of University Operating Cash

DATE: BoT - March 19, 2008

DOC. & VOTE I.D. #: T08-031

VOTED: To authorize the University Treasurer to execute a Memorandum of Understanding with an appropriate University affiliate for the purposes of engaging the affiliate's investment committee to provide advice and counsel and direct asset allocation and manager selection with regard to the University's investment of cash reserves. Said reserves are to be invested consistent with generally established industry guidelines covering investment of university core cash balances.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – March 5, 2008

ACTION ITEM #b, 7: Refunding the 2006-1 Bond Issue

DATE: BoT - March 19, 2008

DOC. & VOTE I.D. #: T08-036

VOTED: That the issuance by the University of Massachusetts Building Authority (the “Authority”) of bonds (in one or more series) (the “Refunding Bonds”) for the purpose of refunding the Authority’s Project and Refunding Revenue Bonds, Senior Series 2006-1, is hereby approved, and the President of the University of Massachusetts (the “University”), the Vice President for Management and Fiscal Affairs and Treasurer of the University and any other officer of the University designated by the President, each acting alone, (each, an “Authorized Officer”) are hereby authorized in the name and on behalf of the Trustees of the University (the “Trustees”) to approve in writing the issuance by the Authority of the Refunding Bonds.

And further,

That each Authorized Officer is hereby authorized to do all other things and to take all other action deemed necessary or desirable to carry into effect the matters hereby authorized, including without limitation the execution, sealing and delivery on behalf of The Commonwealth of Massachusetts (the “Commonwealth”), the Trustees or the University, of contracts for state financial assistance in the form of a guaranty by the Commonwealth of the Refunding Bonds of the Authority or for management and services with respect to projects refinanced by the Refunding Bonds (all as provided in and subject to the Enabling Act), each such contract to have such provisions as are approved by the signer thereof on behalf of the Commonwealth, the Trustees or the University.

And further,

That this vote shall take effect immediately upon passage.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Audit – March 5, 2008

ACTION ITEM #d, 1-8: Audit Reports

DATE: BoT - March 19, 2008

DOC. & VOTE I.D. #: T08-001, T08-002, T08-003, T08-004, T08-005, T08-006,
T08-007, T08-008

VOTED: To accept the following Audit reports:

1. WFCR-FM and Foundation Combined Financial Report and Communications Letter (**Doc. T08-001**)
2. WUMB-FM Financial Report, Internal Control and Communications Letter (**Doc. T08-002**)
3. UMASS Amherst Report on the Application of Agreed Upon Procedures at the Intercollegiate Athletic Program (**Doc. T08-003**)
4. UMASS Lowell Report on the Application of Agreed Upon Procedures at the Intercollegiate Athletic Program (**Doc. T08-004**)
5. Internal Audit Report on the UMASS Lowell Cash Receipting Locations (**Doc. T08-005**)
6. Internal Audit Report on the UMASS Amherst Athletic Department Advances Process (**Doc. T08-006**)
7. Internal Audit Report on the University's Systems Vulnerability Study (**Doc. T08-007**)
8. Internal Audit Report on the Payment Card Industry Data Security Standards (**Doc. T08-008**)

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Science, Technology and Research – February 25, 2008

ACTION ITEM #e, 1: Clean Energy Report

DATE: BoT - March 19, 2008

DOC. & VOTE I.D. #: T08-032

VOTED: To accept the following report – “Clean Energy for the Commonwealth: Powered by the University of Massachusetts” – as prepared by the UMASS Clean Energy Working Group at the request of the Trustee Committee on Science, Technology and Research.

And further,

To affirm that clean energy is an important field of science, technology and research with great potential to benefit the Commonwealth, the nation and the world;

To assert that the University of Massachusetts, as the Commonwealth’s public research university, has a crucial role to play in this field and a responsibility to support the state’s goal of global leadership in clean energy;

To endorse the memorandum of understanding with the State Executive Office of Energy and Environmental Affairs and efforts to partner with industry through engagement with such groups as the New England Clean Energy Council;

To commend the members of the Clean Energy Working Group – Dr. Paul Kostecki of Amherst (chair), Dr. Richard Antonak (Boston), Dr. Craig Armiento (Lowell), Tom Chmura (President’s Office), Dr. Steve Goodwin (Amherst), Dr. George Langford (Amherst), Dr. David Levy (Boston), Dr. Michael Malone (Amherst), John Miller (Dartmouth), Dr. Robert Peck (Dartmouth), Dr. John Ting (Lowell), and Dr. Marcellette Williams (President’s Office) and the staff – Loren Walker of Amherst (project leader), Jeff Brancato (President’s Office) and Marla Michel (Amherst); and

To urge the President and Chancellors to take all necessary and appropriate actions to position the University as a leader in clean energy with the Governor, Legislature, industry and other relevant parties.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Governance – March 19, 2008

**ACTION ITEM f: Amendment Regarding the Vote of September 19, 2007
Approving the Officers for 2007-2008 and Revising the Slate**

DATE: BoT – March 19, 2008

DOC. & VOTE I.D. #: T07-093, as amended

VOTED: To amend the Board Vote of September 19, 2007 by amending Doc. T07-093, as amended, by adding James J. Karam as First Vice Chair of the Board of Trustees and Ruben J. King-Shaw, Jr. as Second Vice Chair of the Board of Trustees;

And further,

by striking the name Ruben J. King-Shaw, Jr. as Chair of the Committee on Academic and Student Affairs and replacing it with Henry M. Thomas, III.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

OTHER BUS. #1: Department of Defense

DATE: BoT - March 19, 2008

DOC. & VOTE I.D. #: T08-037

VOTED: WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chairman of the Board, the President, the Secretary, and the designated Facility Security Officer meet the personnel clearance requirements established for a contractor's facility clearance; and

WHEREAS, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees and other officers, provided that this action is recorded in the minutes.

NOW, THEREFORE, BE IT DECLARED that the Chairman of the Board, the President, the Secretary and the designated Facility Security Officer at the present time do possess, or will be processed for, the required security clearance; and

BE IT RESOLVED that in the future, when any individual enters upon any duties as Chairman of the Board, the President, the Secretary or the designated Facility Security Officer, such individual shall immediately make application for the required security clearance; and

BE IT RESOLVED FURTHER that the following members of the Board of Trustees and other officers shall not have, and can be effectively excluded from access to all classified information in the possession of the corporation and do not occupy positions that would enable them to affect adversely policies or practices in the performance of classified contracts for the Department of Defense or the User Agencies of its Industrial Security Program:

| <u>NAME</u> | <u>TITLE</u> |
|-------------------------|---------------------|
| Anthony B. Beatrice | Trustee |
| Lawrence F. Boyle | Trustee |
| Jennifer C. Braceras | Trustee |
| Matthew E. Carlin | Trustee |
| Edward W. Collins, Jr. | Trustee |
| John A. DiBiaggio | Trustee |
| Tamara Endich | Trustee |
| Philip W. Johnston | Trustee |
| James J. Karam | Trustee |
| Ruben J. King-Shaw, Jr. | Trustee |
| Aleksandar Kulenovic | Trustee |
| Richard J. Lawton | Trustee |
| Kenneth A. MacAfee, II | Trustee |
| Bharath D. Nath | Trustee |
| William T. O'Shea | Trustee |
| Kerri Osterhaus-Houle | Trustee |
| Janet D. Pearl | Trustee |
| Alda Rego Weathers | Trustee |
| Henry M. Thomas, III | Trustee |
| Ruth Thompson | Trustee |
| Stephen P. Tocco | Trustee |

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

OTHER BUS. #3: Chancellor's Search Committee, UMASS Worcester

DATE: BoT - March 19, 2008

DOC. & VOTE I.D. #: T08-038

VOTED: To concur with the Chairman of the Board in the naming of the Search Committee at the University of Massachusetts at Worcester:

UMASS Worcester Search Committee Members:

Philip W. Johnston, Chair, President, Philip W. Johnston Associates

Craig C. Mello, PhD, Vice Chair, Nobel Laureate, Howard Hughes Medical Institute Investigator, Blais University Chair in Molecular Medicine and Professor of Molecular Medical and Cell Biology

John F. Blais, Founder and President of BlaisCo, LLC

Michael Czech, PhD, Chair, Molecular Medicine

Jennifer S. Daly, MD, Professor, Infectious Diseases and Immunology

Walter H. Ettinger, Jr, MD, MBA, President, UMass Memorial Medical Center and Associate Vice Provost for Clinical and Population Health Research

Marianne E. Felice, M.D, Chair, Pediatrics

Robert W. Finberg, MD, Chair, Medicine

Terence R. Flotte, MD, Executive Deputy Chancellor, Dean and Provost for the School of Medicine

Charles J. Hoff, Former Chairman and CEO of Universal/Univis, Inc.

James R. Julian, Jr., JD, Executive Vice President, Chief Operating Officer, President's Office

Trustee James J. Karam, President, First Bristol Corporation

Daniel H. Lasser, MD, MPH, Chair, Department of Family Medicine and Community Health

Katherine F. Ruiz de Luzuriaga, MD, Professor, Pediatrics

Trustee Bharath D. Nath, Student Trustee

Trustee Kerri E. Osterhaus-Houle, MD, Alumna and Partner, Women's Health of Central Massachusetts

Linda A. Pape, MD, Professor, Medicine

Trustee Janet D. Pearl, MD, Co-Director of Pain Management Center at St. Elizabeth's Medical Center

R. Norman Peters, JD, Principal, Peters & Sowyrda and Member of UMass Foundation Board of Directors

Paulette Seymour Route, PhD, RN, MS, Alumna and Dean of
Graduate School of Nursing

Marian Wilson, PhD, Associate Vice Chancellor for Diversity and
Equal Opportunity

Marcellette G. Williams, PhD, Senior Vice President for Academic
and Student Affairs and International Relations, President's Office