

UNIVERSITY OF MASSACHUSETTS

VOTES

BOARD OF TRUSTEES

JUNE 19, 2013

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – June 12, 2013

ACTION ITEM #b, 1: FY2014 University Operating Budget

DATE: BoT – June 19, 2013

DOC. & VOTE I.D. #: T13-034

VOTED: To approve the FY2014 University Operating Budget and to authorize the President to approve changes, as needed, once the General Appropriations Act for FY2014 is signed into law. (Doc. T13-034)

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: Administration and Finance – June 12, 2013

ACTION ITEM #b, 2: Approval of Mandatory Student Charges and Authorization to Approve other Charges for the Academic Year 2013 – 2014

DATE: BoT – June 19, 2013

DOC. & VOTE I.D. #: T13-049, as amended

VOTED: To approve the following:

The Commonwealth of Massachusetts and University of Massachusetts strive to achieve a more equitable solution for Massachusetts students and their families to ensure a high quality and affordable education. The equitable solution would be for the Commonwealth to provide 50% of the cost of educating a student at the University of Massachusetts and the other 50% of the costs charged to the student. Under this 50/50 funding model the University would agree to freeze the mandatory fees for all undergraduate resident students for the next two fiscal years provided the Commonwealth appropriates at least \$478 million in fiscal year 2014 and approximately \$517 million in fiscal year 2015.

1. In accordance with Section 1A of Chapter 75 of the General Laws, and Policy T92-031, Appendix E, to authorize the President to approve, no later than June 30, 2013, or upon the General Appropriations Act for FY2014 becoming law, the individual rates for mandatory student charges, which includes tuition, the mandatory curriculum fee and all other mandatory student fees, provided that the total mandatory student charges shall not exceed the total rates as outlined below for the Amherst, Boston, Dartmouth, and Lowell campuses for academic year 2013-2014:

Table One:

<u>Total Mandatory Student Charges</u>	<u>Amherst</u>	<u>Boston</u>	<u>Dartmouth</u>	<u>Lowell</u>
Undergraduate (resident)	\$13,901	\$12,552	\$12,253	\$12,687
Undergraduate (non-resident)	\$27,974	\$27,430	\$24,156	\$26,146
Graduate (resident)	\$13,642	\$14,168	\$13,624	\$12,039 *
Graduate (non-resident)	\$27,715	\$27,445	\$24,156	\$21,874 *
Graduate Law School (resident)			\$23,702	
Graduate Law School (non-resident)			\$31,394	

**Per 9 credit load*
All other Mandatory Fees increase per Student Referendum

2. And further, if upon the University of Massachusetts receiving the full allotment of their base appropriation, line item 7100-0200, in an amount equal to or greater than \$478 million for fiscal year 2014, the board of trustees and the President agree to freeze tuition and the mandatory curriculum fees for all undergraduate resident students at current rates. The total mandatory student charges shall not exceed the total rates as outlined below for the Amherst, Boston, Dartmouth and Lowell campuses for academic year 2013-2014:

Table Two:

<u>Total Mandatory Student Charges</u>	<u>Amherst</u>	<u>Boston</u>	<u>Dartmouth</u>	<u>Lowell</u>
Undergraduate (resident)	\$13,258	\$11,966	\$11,681	\$12,097
Undergraduate (non-resident)	\$27,974	\$27,430	\$24,156	\$26,146
Graduate (resident)	\$13,642	\$14,168	\$13,624	\$12,039 *
Graduate (non-resident)	\$27,715	\$27,445	\$24,156	\$21,874 *
Graduate Law School (resident)			\$23,702	
Graduate Law School (non-resident)			\$31,394	

**Per 9 credit load*
All other Mandatory Fees increase per Student Referendum

3. And further, in accordance with Section 1A of Chapter 75 of the General Laws, to establish and approve the following tuition and maximum mandatory fee rates for the Worcester campus for academic year 2013-2014:

<u>Medical School</u>	<u>Tuition</u>	<u>Mandatory Fees</u>	<u>Total Student</u>
Medical School (resident)	\$8,352	\$14,535	\$22,887
Ph.D/MD. (resident)	\$2,640	\$22,310	\$24,950
Ph.D/MD. Years 1-2 (non-resident)	\$9,856	\$37,310	\$47,166
Ph.D/MD. Years 3+ (non-resident)	\$9,856	\$27,454	\$37,310
Graduate School of Nursing (resident)	\$2,640	\$8,307	\$10,947
Graduate School of Nursing (non-resident)	\$9,856	\$8,307	\$18,163
Grad. School of Biomedical Science (resident)	\$2,640	\$4,279	\$6,919
Grad. School of Biomedical Science(non-resident)	\$9,856	\$4,279	\$14,135

4. And further, in accordance with Section 1A of Chapter 75 of the General Laws and T92-031 Appendix E, to authorize the President to approve final student housing rent and food service charges providing that the standard total charge to undergraduate Massachusetts resident students shall not exceed the standard rates as outlined below for academic year 2013-2014:

<u>Room and Board (standard rate)</u>	<u>Amherst</u>	<u>Boston</u>	<u>Dartmouth</u>	<u>Lowell</u>
	\$10,439	N/A	\$10,908	\$10,793

5. And further, to authorize the President to approve the full schedule of rent and food service charges for all other classifications of students, room options and meal plans for academic year 2013-2014.
6. And further, to authorize the President to approve individual fee rates for other student fees and student fee/revenue based operations charges not already approved by the Board for academic year 2013-2014.
7. And further, in accordance with T92-031 Appendix E the Board of Trustees authorizes the establishment of the opt-out Green Fee in the form of an opt-out check off activity at the Dartmouth campus to support sustainability efforts.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: **Audit Committee – June 12, 2013**

ACTION ITEM #c, 1: **FY2014 Internal Audit Plan**

DATE: **BoT – June 19, 2013**

DOC. & VOTE I.D. #: **T13-036**

VOTED: To approve the following:

- FY 2014 Internal Audit Plan (Doc. T13-036)

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

COMMITTEE: **Audit Committee – June 12, 2013**

ACTION ITEM #c, 2: **Football Attendance Audit Report, UMass Amherst**

DATE: **BoT – June 19, 2013**

DOC. & VOTE I.D. #: **T13-055**

VOTED: To approve the following:

- Football Attendance Audit Report, UMass Amherst
(Doc. T13-055)

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

CONSENT AGENDA VOTES

To approve the following votes listed as 1-14:

The Committee on Academic and Student Affairs approved items 1-9 on June 5, 2013

1. M.A. in Global Inclusion and Social Development AND Ph.D. in Global Inclusion and Social Development, UMass Boston (Doc. T13-038)

To approve the M.A. in Global Inclusion and Social Development AND the Ph.D. in Global Inclusion and Social Development at the University of Massachusetts Boston as contained in Doc. T13-038.

2. Honors College, UMass Boston (Doc. T13-039)

To approve the creation of the Honors College at the University of Massachusetts Boston.

3. Request for Certain Actions Related to the Nomenclature of Schools and Colleges, UMass Amherst (Doc. T13-040)

To approve the request for certain actions related to the nomenclature of Schools and Colleges at the University of Massachusetts Amherst as contained in Doc. T13-040.

4. Appointment to Named Professorship, UMass Amherst (Doc. T13-047)

To concur with the President in the appointment of Timothy Anderson as Distinguished Professor at the University of Massachusetts Amherst.

5. Appointment of the First Holder of the Myles J. McDonough Chair in Rheumatology, AND Appointment of the First Holder of the Celia D. Rumsey Chair in Diabetes Research, UMass Worcester (Doc. T13-056)

To concur with the President in the appointment of Professor Ellen M. Gravallesse as the first holder of the Myles J. McDonough Chair in Rheumatology at the University of Massachusetts Worcester, contingent on the establishment at the June 12, 2013 Committee on Administration and Finance of the Myles J. McDonough Chair in Rheumatology at the University of Massachusetts Worcester.

And further, To concur with the President in the appointment of Professor Silvia Corvera as the first holder of the Celia D. Rumsey Chair in Diabetes Research at the University of Massachusetts Worcester, contingent on the establishment at the June 12, 2013 Committee on Administration and Finance of the Celia D. Rumsey Chair in Diabetes Research at the University of Massachusetts Worcester.

6. Revisions to the Code of Student Conduct, UMass Amherst, Lowell (Doc. T94-059, as amended)

To approve the revisions to the Code of Student Conduct at the University of Massachusetts Amherst as contained in Doc. T94-059, as amended.

And further, To approve the revisions to the Code of Student Conduct at the University of Massachusetts Lowell as contained in Doc. T94-059, as amended.

7. Appointments with Tenure, UMass Amherst, Boston, Lowell (Doc. T13-043)

To concur with the President in the appointment of Timothy Anderson as professor with tenure in the Department of Chemical Engineering at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Janet S. Fink as associate professor with tenure in the Department of Sport Management at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Linda Lewandowski as professor with tenure in the School of Nursing at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Michael Ramsey-Musolf as professor with tenure in the Department of Physics at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Roberto Veneziani as associate professor with tenure in the Department of Economics at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Annette Wysocki as professor with tenure in the School of Nursing at the University of Massachusetts Amherst.

And further, To concur with the President in the appointment of Walter Buchwald as associate professor with tenure in the Department of Physics at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of Jill Macoska as professor with tenure in the Department of Biology at the University of Massachusetts Boston.

And further, To concur with the President in the appointment of Katherine L. Tucker as professor with tenure in the Department of Clinical Laboratory and Nutritional Sciences at the University of Massachusetts Lowell.

8. Awards of Tenure, UMass Amherst, Boston, Dartmouth, Lowell, Worcester (Doc. T13-044)

To concur with the President in the awards of tenure to the following individuals in the following Departments:

AMHERST: **Sofiya Alhassan**, Kinesiology
Joselyn Almeida-Beveridge, English
Brent L. Auerbach, Music & Dance
Flavio Azevedo, Teacher Education and Curriculum Studies
Enobong H. Branch, Sociology
Casey Brown, Civil and Environmental Engineering
Seth Cable, Linguistics
Qian-Yong Chen, Mathematics and Statistics
Jeungok Choi, School of Nursing
Benjamin Davidovitch, Physics
Arindrajit Dube, Economics
Song Gao, Civil and Environmental Engineering
Gregory M. Grason, Polymer Science and Engineering
Patricia Gubitosi, Languages, Literatures, and Cultures
Aline Gubrium, Public Health
Jose Hernandez, History
Alejandro P. Heuck, Biochemistry and Molecular Biology
Regina Kaufmann, Theater
Irene Barbara Krauthamer, History
Jacquie Kurland, Communication Disorders
Fidan Ana Kurtulus, Economics
Jenna Marquard, Mechanical and Industrial Engineering
Christopher Meacham, Philosophy
Elizabeth G. Miller, Marketing
Thomas P. Moliterno, Management
Bjorn Nordtveit, Educational Policy, Research and Administration
Chul Park, Civil and Environmental Engineering
Ventura R. Perez, Anthropology
Jennifer Randall, Educational Policy, Research and Administration
Henry Renski, Landscape Architecture and Regional Planning
Jesse Rhodes, Political Science
Christian Rojas, Resource Economics
Jennifer L. Ross, Physics
Christian Santangelo, Physics
Shawn Shimpach, Communication
Jonathan Skolnik, Languages, Literatures, and Cultures
Rebecca Spencer Chambers, Psychology
Anna Lisa Taylor, History
Amanda Walker Johnson, Anthropology
Lisa Wexler, Public Health
Hang Xiao, Food Science
Hong-Kun Zhang, Mathematics and Statistics

BOSTON: **Ramakrishna Ayyagari**, Management Science and Information Systems

Scott Crouter, Exercise and Health Sciences
Ehsan Elahi, Management Information Systems
Eduardo A. Gonzalez, Mathematics
Timothy Hacsí, History
Tricia Kress, Leadership in Education
One-Ki Lee, Management Science and Information Systems
Catherine Mazza, Art
Marianna Torok, Chemistry
Duc A. Tran, Computer Science

DARTMOUTH:

Marilyn Asselin, Adult & Child Nursing
Michelle Bowers, Design
Erin Bromage, Biology
Geoffrey Cowles, Fisheries Oceanography
Maria Gloria de Sa, Sociology, Anthropology, and Crime and Justice Studies
Hillary Farber, School of Law
Tracie Ferreira, Bioengineering
Pingguo He, Fisheries Oceanography
Susan Hunter Revell, Adult and Child Nursing
Christopher Larkosh, Portuguese
Devon Lynch, Economics
Chad McGuire, Public Policy
Stephanie O'Hara, Foreign Literature and Languages
Chandra Orrill, Science, Technology, Engineering, and Mathematics (STEM) Education
Andrew Revell, Psychology

LOWELL:

Elizabeth Bifuh-Ambe, Curriculum and Instruction
Bridgette Budhlall, Plastics Engineering
Joseph Fisher, Library
James J. F. Forest, School of Criminology and Justice Studies
Christopher J. Harris, School of Criminology and Justice Studies
Michelle C. Haynes, Psychology
John Kaag, Philosophy
Jill H. Lohmeier, Curriculum and Instruction
Maria Matz, Cultural Studies
S. Alex Ruthmann, Music
Carole L. Salmon, Cultural Studies
Stefanie Tate, Accounting
Patrick Young, History

WORCESTER:

Sharon Cantor, Cancer Biology
Paul Gardner, Psychiatry
Haley Melikian, Psychiatry
Evgeny Rogaev, Psychiatry

Jie Song, Orthopedics and Physical Rehabilitation

9. Transfers of Tenure, UMass Amherst, Dartmouth (Doc. T13-045)

President in the following transfers of tenure at the University of Massachusetts Amherst:

Ben Branch Department of Finance & Operations Management
Nikunj Kapadia TO
Hossein Kazemi Department of Finance
Nelson Lacey
Bing Liang
Sanjay Nawalkha
Thomas Schneeweis
Mila Sherman

Iqbal Agha Department of Finance & Operations Management
Traci Hess TO
Anna Nagurney Department of Operations & Information Management
Robert Nakosteen
Alan Robinson
John Wells

And further, To concur with the President in the following transfer of tenure at the University of Massachusetts Dartmouth:

Catherine Gardner Department of Philosophy
TO
Department of Women's and Gender Studies

The Committee on Administration and Finance approved items 10-14 on June 12, 2013

10. Authorization to Allocate the Fiscal Year 2014 State Appropriation (Doc. T13-048)

To direct the President to allocate the amount appropriated for the University of Massachusetts in Line Item 7100-0200 of the General Appropriation Act for Fiscal Year 2014 to the campuses and the Central Administration of the University.

11. Amendments to Capital Projects (Doc. T13-058)

Pursuant to Trustee Policy T93-122, to approve the revised estimated total project cost of the following capital project at the Amherst Campus:

<u>Project Name</u>	<u>Total Project Cost Estimate June 2013</u>
Hill Replacement/ Intergraded Design Building - Amherst Campus	\$55,000,000

And further,

Pursuant to Trustee Policy T93-122, to approve the revised estimated total project cost of the following capital project at the Lowell Campus:

<u>Project Name</u>	<u>Total Project Cost Estimate</u> <u>June 2013</u>
Leitch & Bourgeois Residential Hall Renovations - Lowell Campus	\$38,000,000

12. Amendment to the Edward M. Kennedy Institute for Study of the United States Senate Project (Doc. T13-059)

WHEREAS the University of Massachusetts (the “University”) and the John Fitzgerald Kennedy Library Foundation agreed in a Memorandum of Understanding dated December 19, 2003 that was authorized by the Board of Trustees on August 28, 2003 (Doc. T03-058) to lease with an option to purchase certain real estate utilized by the University of Massachusetts Boston campus to the Edward M. Kennedy Institute for Study of the United States Senate (the “Institute”);

WHEREAS the purposes of the Institute are to:

1. to educate students, the general public, and public servants about the role and importance of the United States Senate;
2. to engage the target groups in public debate by bringing them inside the legislative process through innovative and participatory educational programs;
3. to celebrate the legislative career of Senator Edward M. Kennedy and use his collected papers to focus attention on how the United States Senate shapes public policy and the nation;
4. to increase awareness of the role of the United States Senate by providing broad public access to the available papers of all Senators through an extensive digital library, available on-site and on-line; and
5. to establish a close working relationship with the University to take advantage of their proximity, to establish a world-class facility by leveraging the strengths of both institutions, and to enhance the academic and research environment available to students of the University; and

WHEREAS the University and the Institute will construct and operate a civic, academic, research, and archival institution that will have a primary focus on the study of the United States Senate (the “Project”);

Be it RESOLVED the Board of Trustees of the University hereby approve the activities of the Institute as furthering the purposes of the University, and

Be it further RESOLVED that the Board of Trustees hereby approves the Project and authorizes the President of the University and such other officers of the University as he may designate (the President and each such other officer referred to herein as an “Authorized Officer”), each acting singly, in the name and on behalf of the trustees, the University or the Commonwealth, as appropriate, (a) to effectuate the financing of one or more or all of such

projects by such means as such Authorized Officer shall deem advisable, including without limitation by requesting in writing the University of Massachusetts Building Authority, the Massachusetts Development Finance Agency, or any other statutorily qualified issuer in the Commonwealth to issue debt therefor, or to request the Worcester City Campus Corporation to effectuate the financing thereof through any such issuer of debt, so long as the estimated total project cost does not exceed the estimated total project cost of seventy-eight million dollars by more than 10% (exclusive of capitalized interest, debt service reserve funds, cost of issuance of the obligations, costs of bond insurance or other credit enhancement and other cost of the financing), and, (b) in connection with any such financing, to take such actions (including without limitation the execution of the guaranty of the Commonwealth on any obligation guaranteed by the Commonwealth), secure such governmental approvals, execute such agreements (including without limitation contracts for financial assistance, management and services, contracts for management and services, leases or subleases, or real estate sales and purchase agreements) containing such provisions and provide for such terms and sources of repayment, all as such Authorized Officer shall deem advisable and as may be required or permitted by law.

13. Establishment of Endowed Chairs, UMass Worcester (Doc. T13-060)

In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish an The Myles McDonough Chair in Rheumatology at UMass Worcester.

And further,

In accordance with sections 1A(e) and 11 of Chapter 75 of the General Laws to establish an The Celia Rumsey Chair in Diabetes Research at UMass Worcester.

14. Approval of the Extension and Modification of System Revolving Loan Financing Mechanism (Doc. T13-050)

To authorize the President of the University or his designee to continue to facilitate the Revolving Pool Loan Program and to borrow on behalf of the University thereunder from time to time an aggregate amount not to exceed \$40,000,000 outstanding at any time, with the aggregate amount outstanding increasing and decreasing as the President or his designee shall deem appropriate to best effectuate the purposes of the Revolving Pool Loan Program and to be in the best interest of the University, provided that all amounts outstanding under the Revolving Pool Loan Program shall mature no later than November 1, 2030.

And further, to authorize the President or his designee to do any and all other things and perform any and all other actions necessary to effectuate the execution and delivery of any and all additional documents and instruments as may be necessary for the implementation of the actions contemplated by this Resolution, and such execution and delivery shall be conclusive evidence of the authorization and approval thereof by this Board.

UNIVERSITY OF MASSACHUSETTS BOARD OF TRUSTEES

OTHER BUSINESS #1: **Department of Defense, Exclusion from Personnel Clearance Requirements**

DATE: **BoT – June 19, 2013**

DOC. & VOTE I.D. #: **T13-064**

VOTED: WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chairman of the Board, the President, the Secretary, and the designated Facility Security Officer meet the personnel clearance requirement established for a contractor's facility clearance; and

WHEREAS, said Department of Defense Regulations permit the exclusion from the personnel clearance requirements of certain members of the Board of Trustees and other officers, provided that this action is recorded in the minutes;

NOW, THEREFORE, BE IT DECLARED that the Chairman of the Board, the President, the Secretary and the designated Facility Security Officer at the present time do possess, or will be processed for, he required security clearance; and

BE IT RESOLVED that in the future, when any individual enters upon any duties as Chairman of the Board, the President, the Secretary or the designated Facility Security Officer, such individual shall immediately make application for the required security clearance; and

BE IT RESOLVED FURTHER that the following members of the Board of Trustees and other officers shall not have, and can be effectively excluded from access to all classified information in the possession of the corporation and do not occupy positions that would enable them to affect adversely policies or practices in the performance of classified contracts for the Department of Defense or the User Agencies of its Industrial Security Program:

<u>NAME</u>	<u>TITLE</u>
James R. Buonomo	Trustee
Richard P. Campbell	Trustee
Lawrence M. Carpman	Trustee
Edward W. Collins, Jr.	Trustee
Joshua Encarnacion	Trustee
David G. Fubini	Trustee
Maria D. Furman	Trustee
Phillip J. Geoffroy	Trustee
Zoila M. Gomez	Trustee
Jennifer Healy	Trustee
Philip W. Johnston	Trustee
Ruben J. King-Shaw, Jr.	Trustee
Alyce J. Lee	Trustee
Matthew H. Malone	Trustee
Alexis Marvel	Trustee
Jeffrey B. Mullan	Trustee
Kerri Osterhaus-Houle	Trustee
R. Norman Peters	Trustee
Brian Quattrochi	Trustee
Victor Woolridge	Trustee
Margaret D. Xifaras	Trustee